# GUIDE TO COMPLETING AN ETHICS APPLICATION

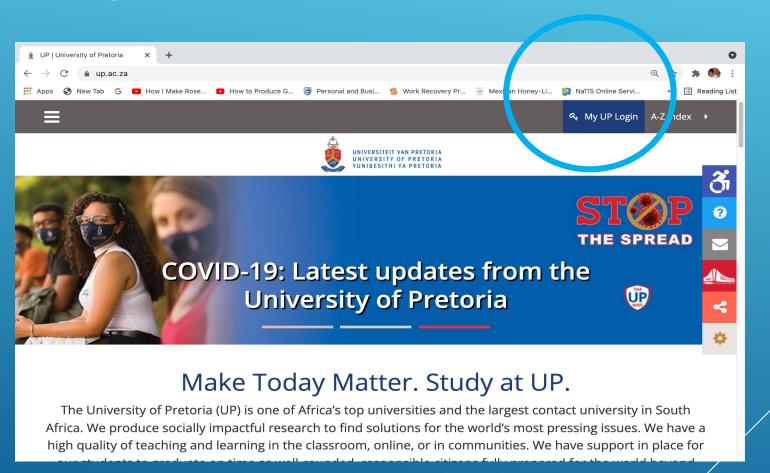
UP Faculty of Humanities Research Ethics process 2022

### Things to note before starting an ethics application

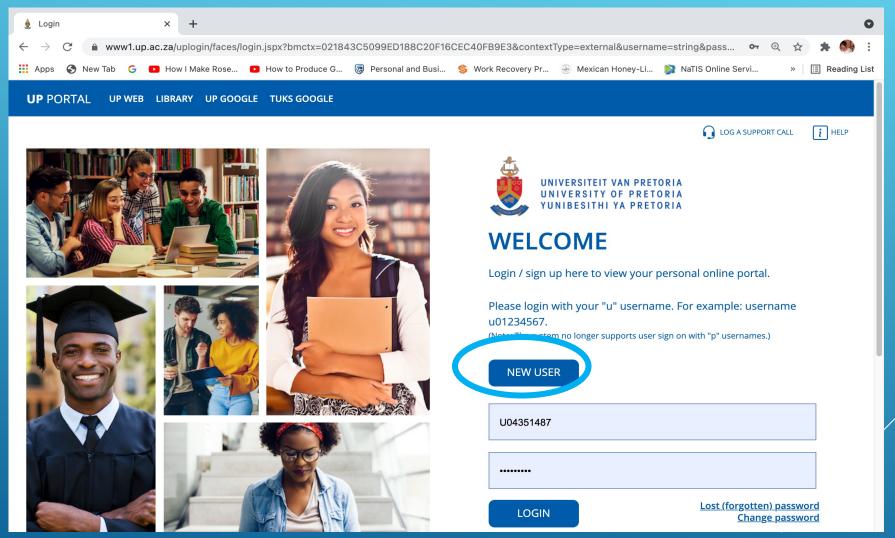
- 1. Research proposal approval
- 2. Supporting documents (e.g. research proposal, letter of informed consent, questionnaire/ interview schedule, relevant permission letters etc.) really and saved on your laptop.
- 3. Alignment of information between all your documents. E.g., sample size, methodology, data collection instrument
- 4. Ethics submission timeframe
- 5. Supervisor and HoD sign off
- 6. Research Ethics Committee cut off date: 15th of the month

## **UP Faculty of Humanities Research Ethics process**

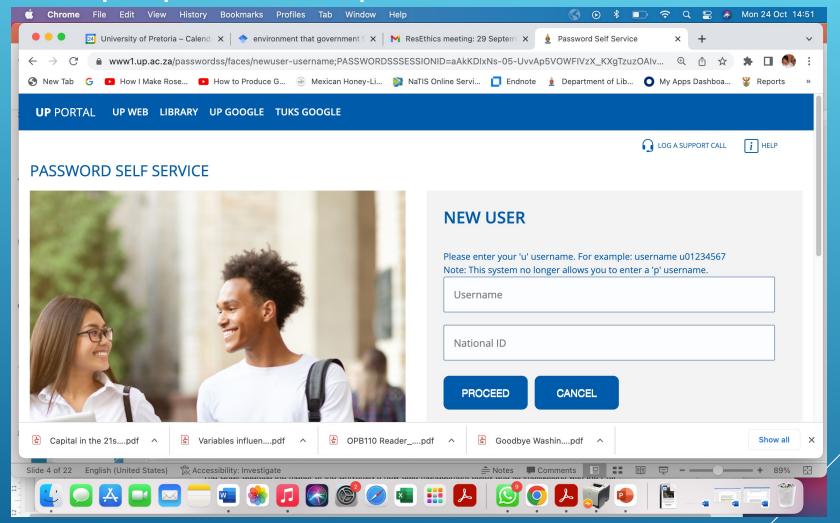
#### Step 1: Log in on UP Portal



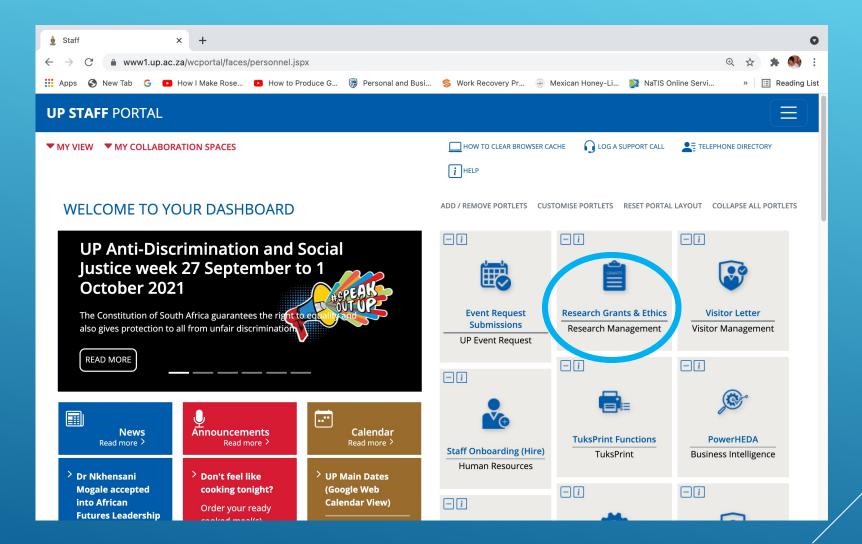
#### Step 1b: Click on the New User tab



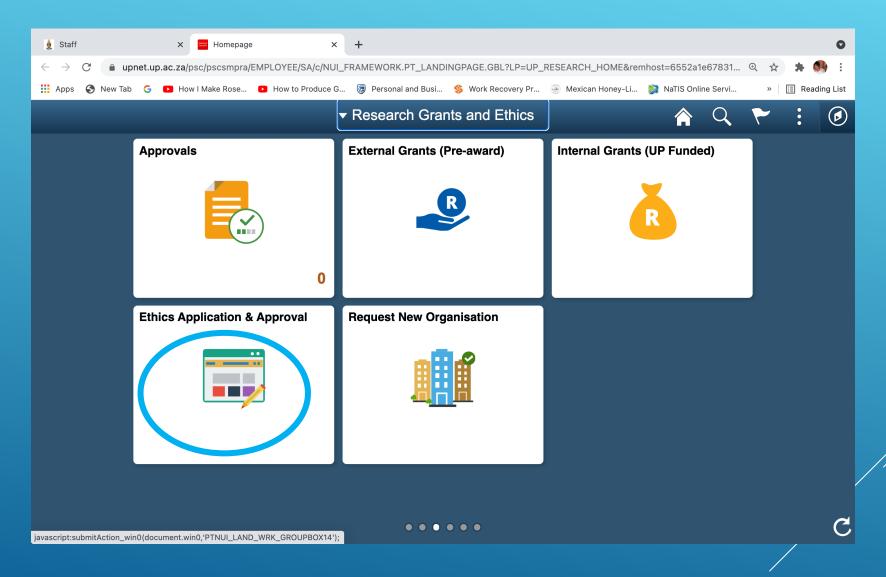
# Step 1c: Enter your EMPLID and National ID. Then you will be prompted to create a password



#### Step 2: Click on Research Grants and Ethics



#### Step 3: Click on Ethics Application and Approval



#### Step 4: Click Pre approval application

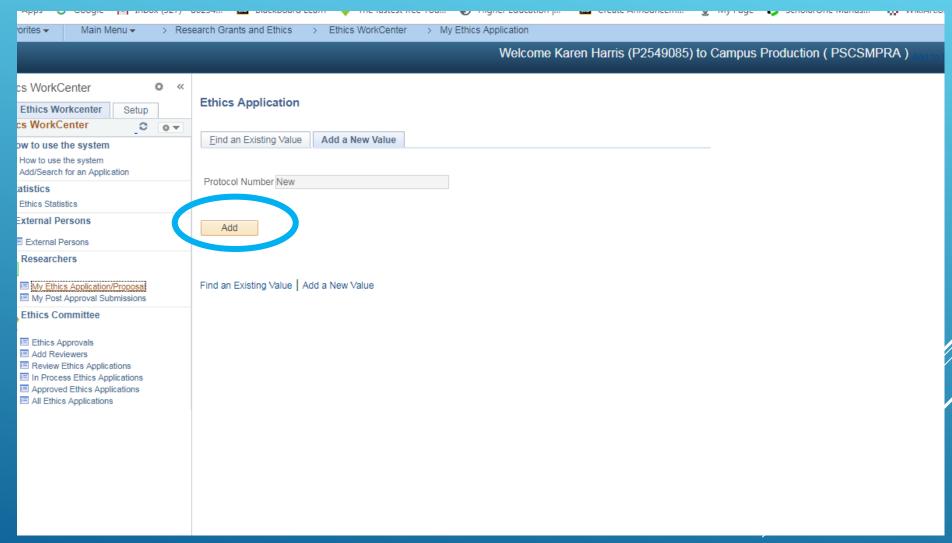
**Ethics Statistics** ⊕ ☆ in upnet.up.ac.za/psp/pscsmpra/EMPLOYEE/SA/c/UP\_ETH\_MENU.UP\_ETH\_WC.GBL?psInkid=UP\_ETH\_WC&WCHomeLink=Y ■ How to Produce G... ■ How I Make Rose... 

S Work Recovery Pr... Favorites -Main Menu -> UP Ethics Application/Approval > Ethics WorkCenter Welcome Tracey Andrew (P4351487) to Campus Production (PSCSMPRA) New Window | Help | Persona 0 « Ethics WorkCenter Humanities My Ethics Workcenter Setup **Ethics WorkCenter** 0 0 7 Applications for the year ? How to use the system How to use the system 300 Add/Search for an Application 250 Statistics 200 Ethics Statistics 2020 External Persons 150 2021 100 E Adding External Team Members 50 Researchers re Approval Submissions Post Approval Submissions Docs Due Conditional Approx Still busy or Completed S Submissions to be Approved Personalize | Find | [2] | First 4 1-25 of 25 Add Reviewers Year Display Description Count Review Ethics Applications 2020 Under Development 27 In Process Ethics Applications Approved Ethics Applications 22 2021 Under Development All Ethics Applications 2020 Submit for Approval 2 Reports 2021 Submit for Approval 2020 Post Submission 25 Final Outcome Masters/Doctoral 2021 Post Submission 2020 Referred back to Applicant 2020 Accepted by Student Supervisor 2021 Accepted by Student Supervisor 10 2020 Accepted by Head of Department 11 2021 Accepted by Head of Department UP Jan 2021 MO....pdf Announcement o....zip ResEthics Annu....docx ^ ResEthics Annu....docx ^ ■ UP Jan 2021 MO....pdf ^ NIHSS-SAHUDA....pdf Perf Assessmen....xlsx ^

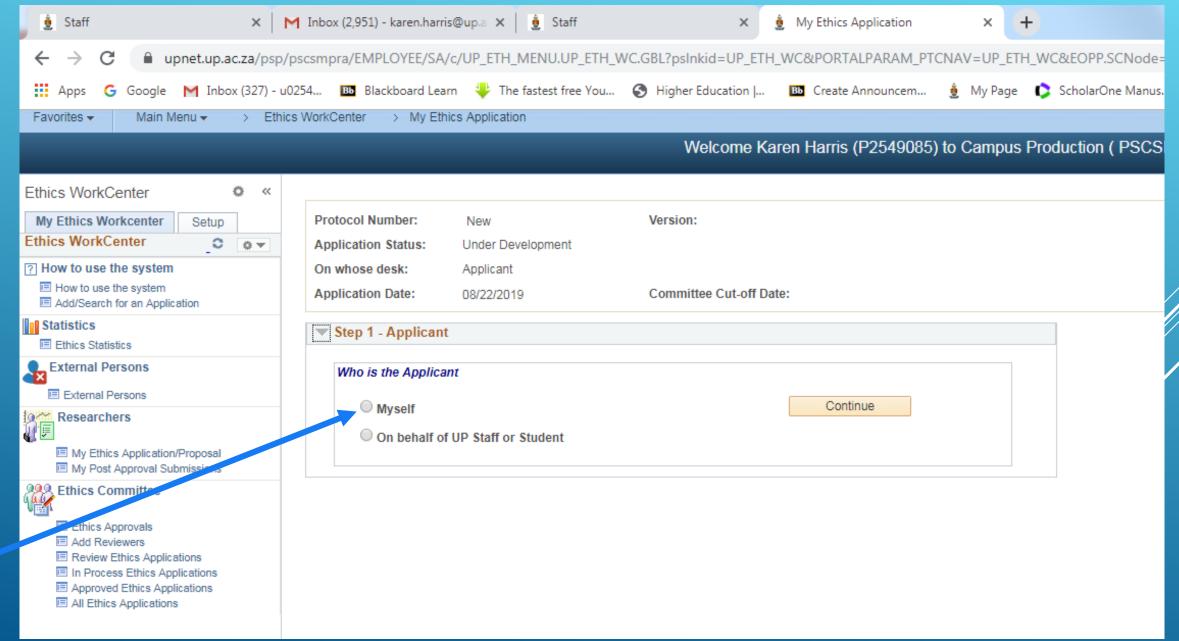
New application: Pre Approval Submissions

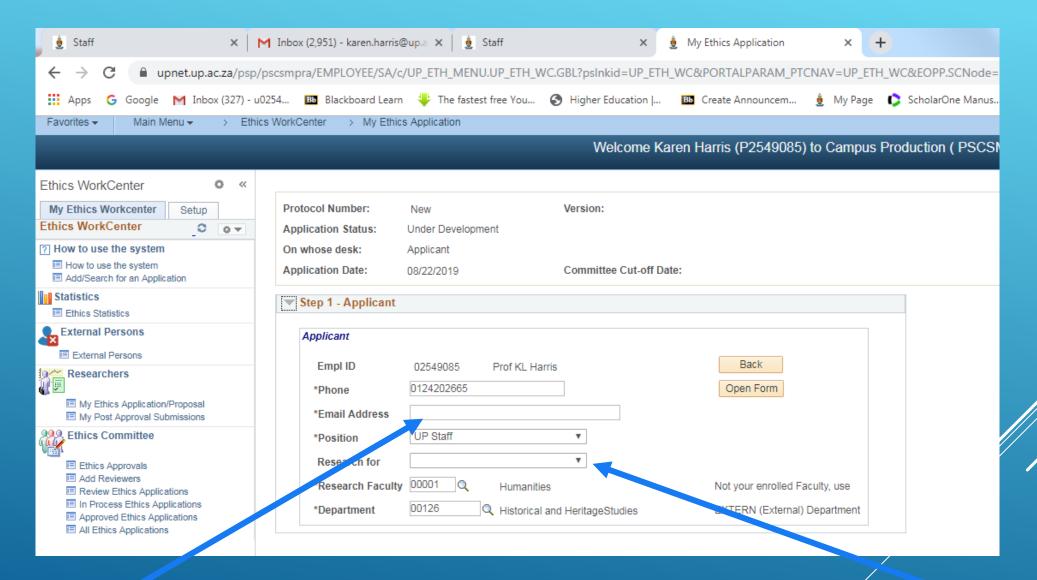
Amendment:
Post Approval
Submissions

#### Step 5: Click on Add for new Application form

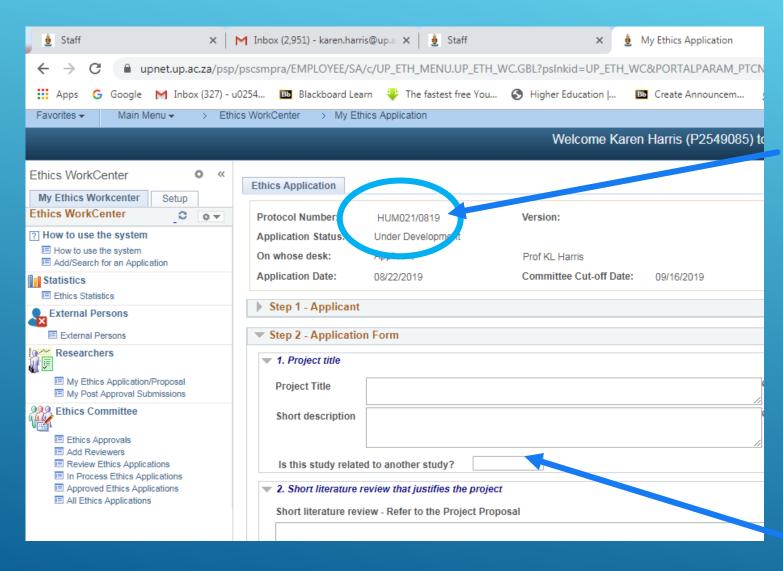


#### Step 6: Click on Myself





#### **Step 7: Complete details**

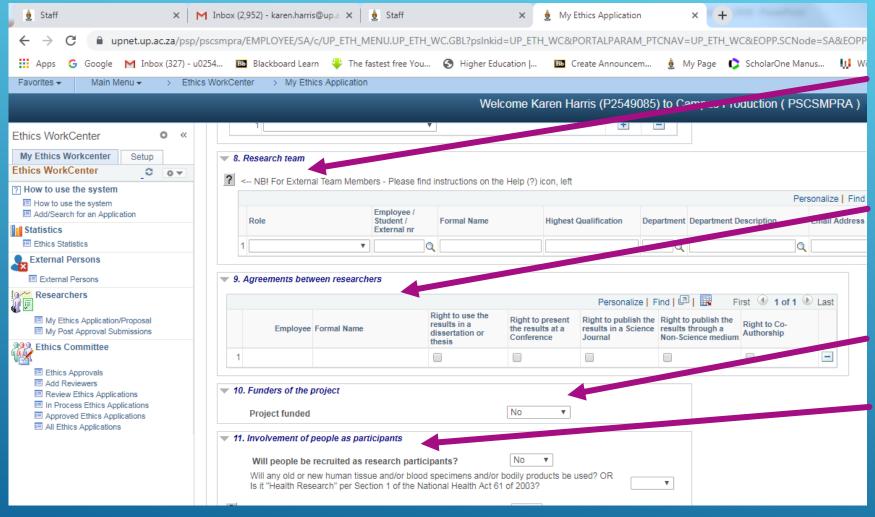


Protocol/ Application Number (HUM0....)

NOTE: Remember this number You should quote it with all enquiries

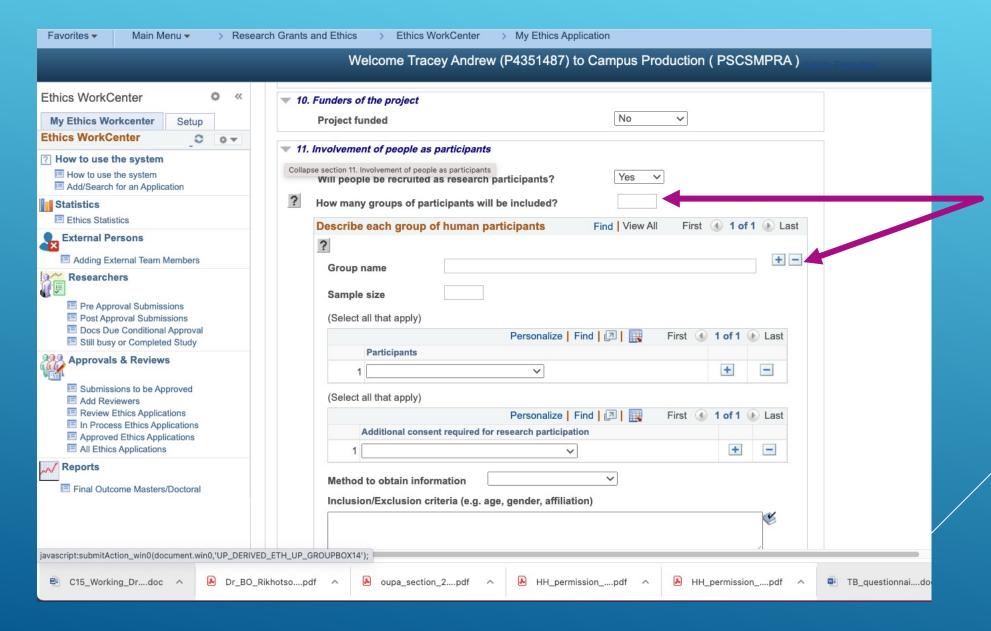
Is the research related to another study?:

#### Step 8: Take note:



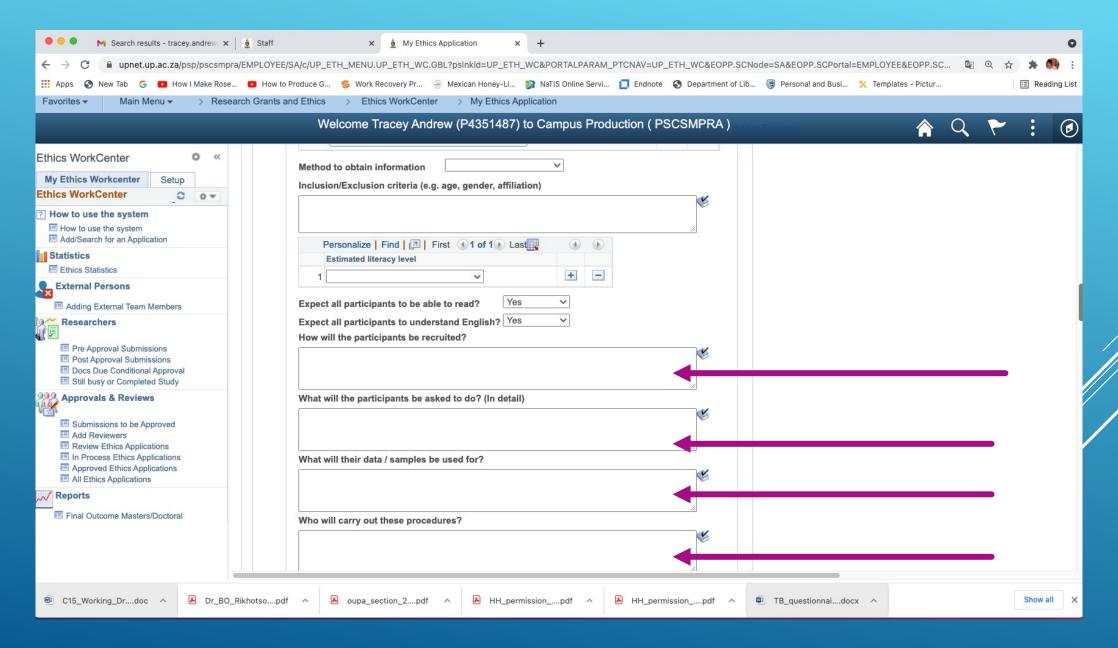
- 8. Research team student, supervisor and co-supervisor
- 9. Research agreement tick applicable sections

- 10. Funders HSRC, Mellon
- 11. Research participants (HUMANS?) Yes ør No

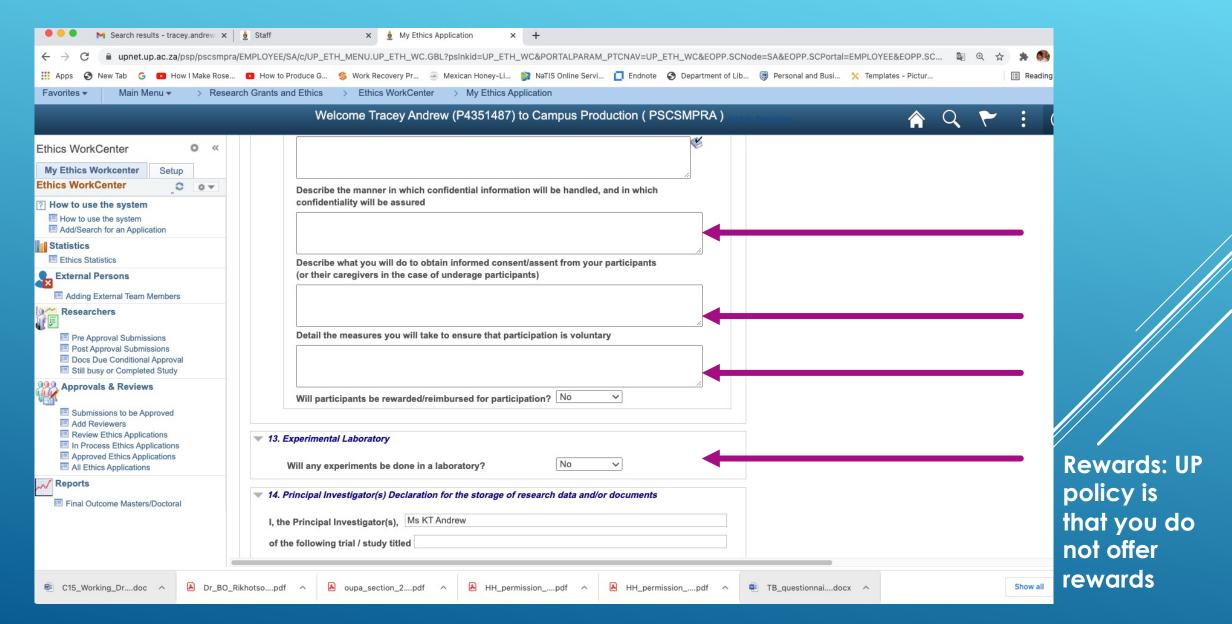


No. of groups Add group name

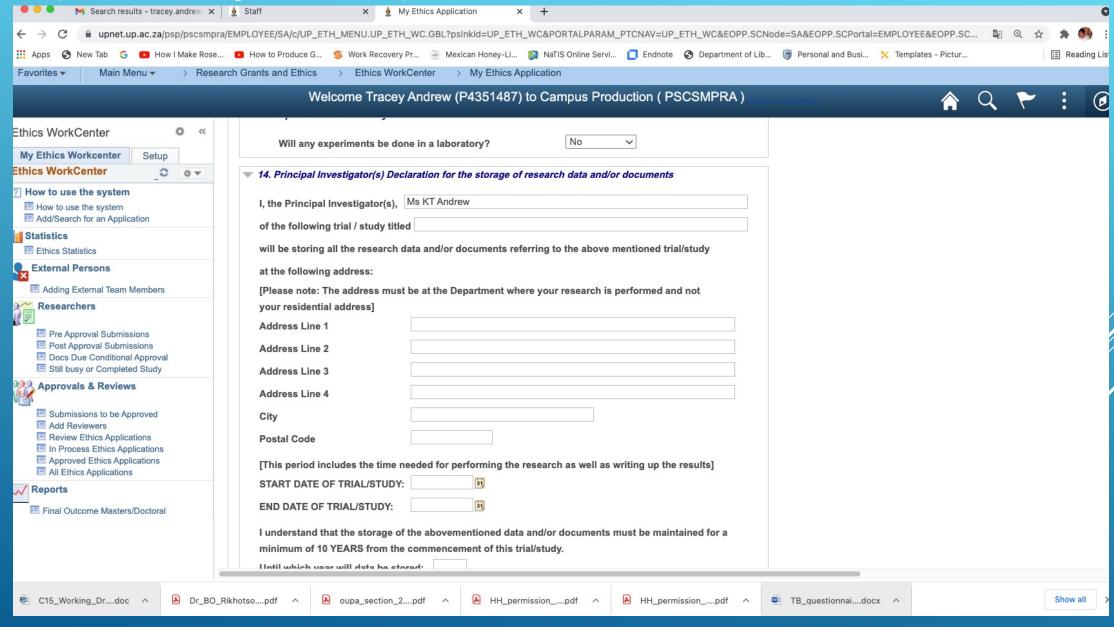
#### Research participants Complete all questions in Section 11 (for each group)



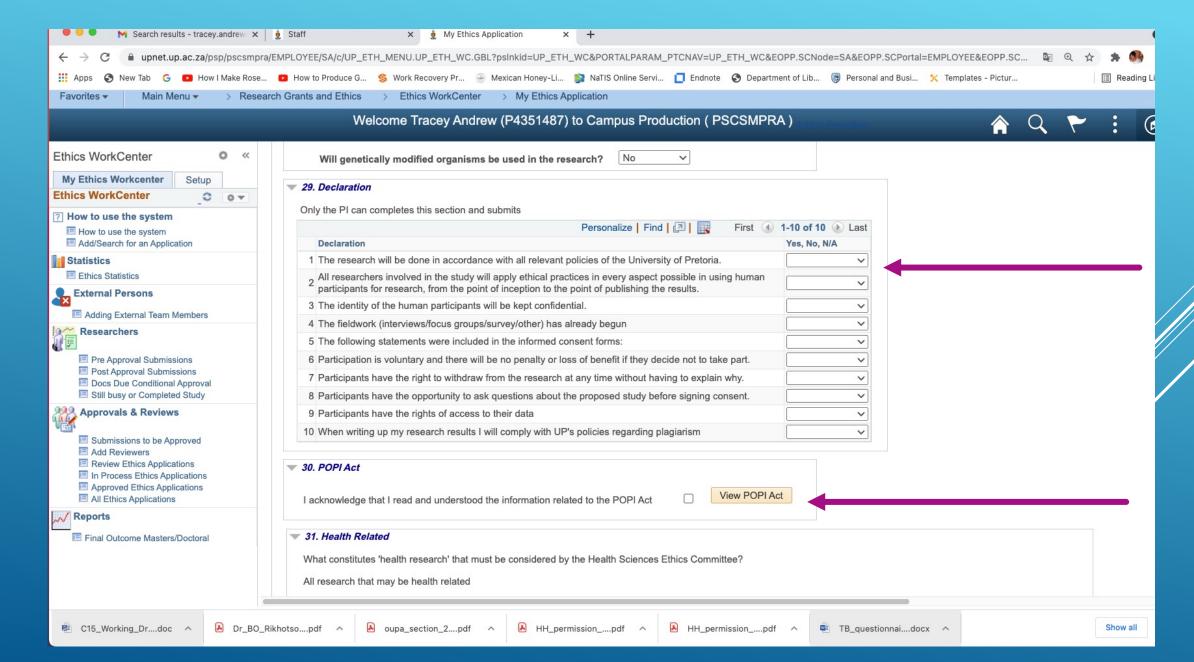
#### Section 11: Human participants continued



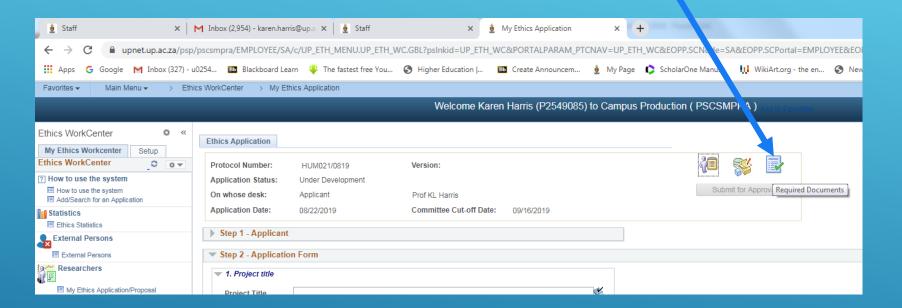
#### Step 9: Data storage – must complete this section



#### Step 10: Declaration and POPIA Act



#### Uploading of all relevant documents:



**Research Proposal** 

**Memorandum of Agreement** 

**Letters of Informed Consent** 

Permission letters on official letterheads:

- To...
- From...

**Questionnaires** 

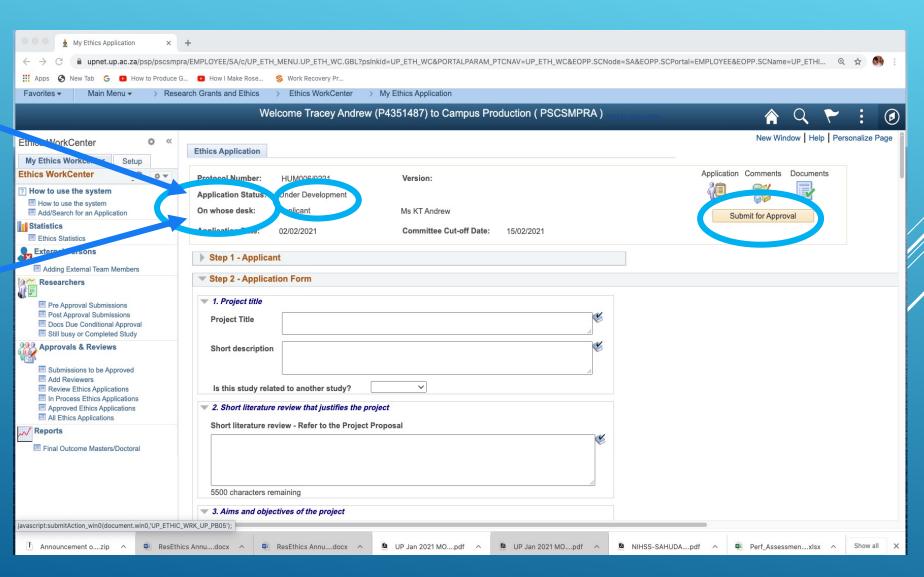
Surveys

Interview schedules

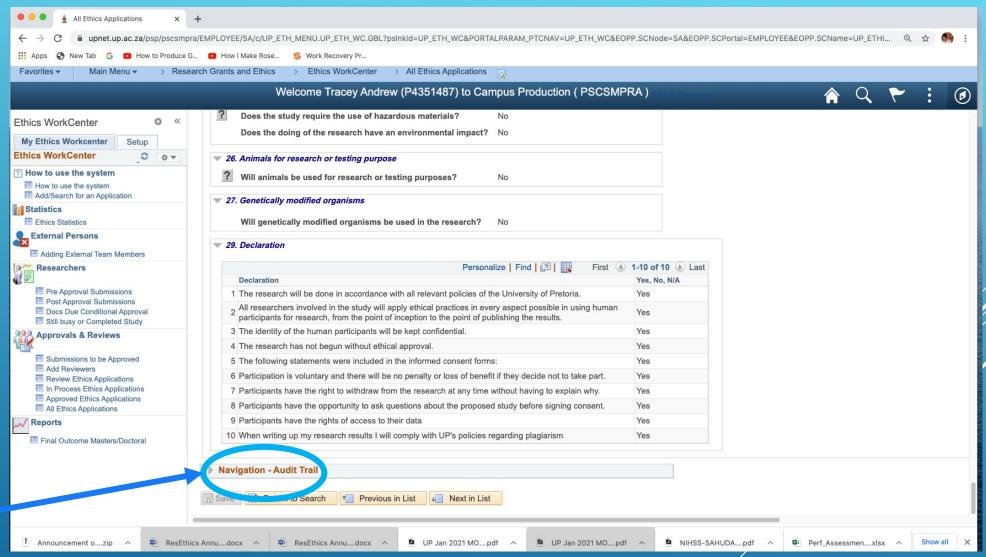
#### Where is my application now????

1 Status of application

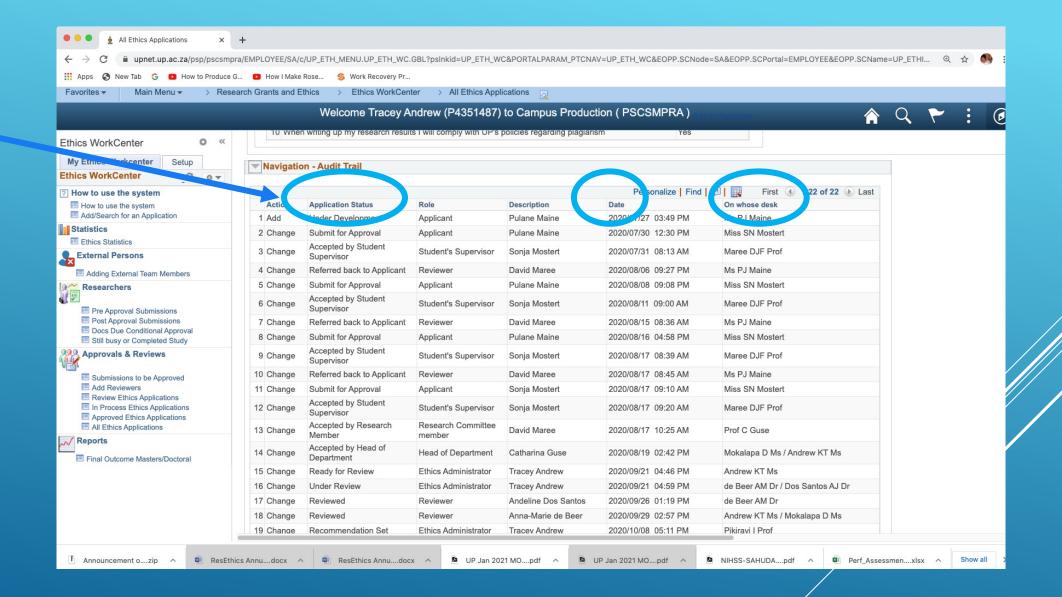
2 On whose desk



#### Status of application



**Navigation trail** 



# All the best with your research & Keep it ethical

tracey.andrew@up.ac.za

(please quote protocol number with enquiries)