

Where they occur

Modifiable Factors

Child PIP v4.0



Categorising Modifiable Factors

Who is responsible

	Clinical P ersonnel	A dministrators	Family/Caregiver
W ard	Clinical Methods Assessment Management Monitoring	Infrastructure Staff Consumables	Growth & development Disease prevention Home treatment Care seeking & compliance
Emergency & Admission	Clinical Methods Assessment Management Monitoring	Infrastructure Staff Consumables	Growth & development Disease prevention Home treatment Care seeking & compliance
Referring Facility & T ransit	Pre-transit care in referring facility In-transit care	Pre-transit care in referring facility In-transit care	Growth & development Disease prevention Home treatment Care seeking & compliance
C linic and Outpatient Care	Clinical Methods Assessment Management Monitoring	Infrastructure Staff Consumables	Growth & development Disease prevention Home treatment Care seeking & compliance
Home	Promotion Prevention Social support	Transport Community development	Growth & development Disease prevention Home treatment Care seeking & compliance

Notes on codes:

The modifiable factors are grouped against priority conditions and activities as described in IMCI, ETAT, EML, and the WHO Pocketbook on Hospital Care for Children.

- First letter applies to "*place"*: **W** = **W**ard; **E** (admission and Emergency) = the place of entry to the hospital and admission; **T** = **T**ransit (Referring facility and ambulance); **C** = **C**linic and Outpatient care (i.e. ambulatory); **H** = **H**ome
- Second letter applies to the "who": P = clinical Personnel; A = administrator; F = Family/ caregiver
- Third letter or digit applies to the priority condition or activity. R = Records; M = Clinical Methods; O = Other and has "COMMENT" fields (If you use this code, you should add the modifiable factor in the 'Comment' box); X = Cross-cutting; 1 = Danger Signs; 2 = ARI; 3 = DD; 4 = Fever; 5 = Nutrition; 6 = PSBI (Sepsis); 7 = HIV; 8 = TB; 9 = Immunisation
- Please note: a **Referral** hospital is a higher level hospital you refer your patients to; and a **Referring** hospital is a lower level hospital where your patients come from
- Acronyms used in the code list include:

A&E	Admissions and Emergency	ICD	Intercostal drain
APLS	Advanced Paediatric Life Support	IV	Intravenous
ARI	Acute Respiratory Infection	LOC	Level of consciousness
BLS	Basic Life Support	LP	Lumbar puncture
CDG	Child Dependency Grant	NGT	Nasogastric tube
CSG	Child Support Grant	PSBI	Possible Serious Bacterial Infection
DD	Diarrhoeal Disease	OPD	Outpatients Department
ETAT	Emergency Triage, Assessment and Treatment	RTHC	Road to Health Chart
ETT	Endotracheal tube	SIRS	Systemic Inflammatory Response Syndrome
FCG	Foster Care Grant	TB	Tuberculosis
GP	General Practitioner	WHO	World Health Organisation
HIV	Human Immunodeficiency Virus		





Ward

Priority Condition or Activity	Category	v3 Code	Modifiable factor			
Ward - Clinical Personnel						
Records	Notes	WPR01	Insufficient notes on clinical care in ward (assess, manage, monitor)			
	Problem List	WPM01	Inadequate case assessment and management at previous admission to ward			
	History	WPM02	Inadequate history taken in ward			
G!! : . !	Examination	WPM03	Inadequate physical examination in ward			
Clinical methods	Investigation	WPM04	Inadequate investigations in ward			
metrous	Investigation	WPM05	Results of investigations inadequately documented (including x-rays) in ward			
	Problem List	WPM06	Inadequate daily 'Problem List' in ward			
	Plan	WPM07	Inadequate daily 'Care Plan' in ward			
Emergency &	Assess	WP101	New danger signs inadequately identified while in ward			
Priority	Manage	WP102	Inadequate response to new danger signs			
Conditions	Monitor	WP103	Danger signs missed due to inadequate monitoring in ward			
	Assess	WP201	Inadequate review of severe ARI in ward			
ADT	Manage	WP202	Inadequate oxygen therapy in ward			
ARI	NA	WP203	Inadequate response to non-responding ARI/pneumonia			
	Monitor	WP204	Inadequate monitoring of respiratory rate and/or oxygen saturation in ward			
	Assess	WP301	Inadequate review of child with severe dehydration			
DD	Manage	WP302	Inadequate revision of fluid management plan, despite child's changing condition in ward			
	Monitor	WP303	Inadequate blood chemistry review in child with shock and/or dehydration			
	Monitor	WP304	Inadequate monitoring of shocked child in ward			
	Assess	WP401	Inadequate assessment of fitting and/or comatose child in ward			
	Manago	WP402	Convulsions not managed according to standardised protocol in ward			
Convulsions/	Manage	WP403	Inadequate referral to higher level of care for child with coma, from ward			
Coma		WP404	Inadequate monitoring of blood glucose in ward			
	Monitor	WP405	Children's coma score and/or 'neuro-obs' not done in ward			
		WP406	Inadequate monitoring of convulsions in ward			
	Assess	WP501	Child not categorised as having severe malnutrition in ward			
		WP502	Too much/too little, incorrect type of IV fluids given in ward			
		WP503	Inadequate prescription for IV fluids in ward			
		WP504	NGT feedings not prescribed when indicated in ward			
Nutrition/	Manage	WP505	National guideline for malnutrition not followed for child with severe malnutrition			
Intake		WP506	Prescribed NGT feeds not given in ward			
		WP507	Prescribed feeds not given in ward			
		WP508	Inadequate NGT feeding technique causing problems, e.g. cough, cyanosis			
		WP509	Inadequate monitoring of IV fluids and/or drip sites			
	Monitor	WP510	Inadequate intake-output charting in ward			
	Assess	WP601	Possible serious bacterial infection (including nosocomial infection) not considered in ward			
PSBI		WP602	Inadequate 'septic workup' in ward			
. 551	Manage	WP603	Inadequate antibiotics prescribed in ward			
	Monitor	WP604	Child with 'septic shock'/SIRS inadequately monitored in ward			





Priority Condition or Activity	Category	v3 Code	Modifiable factor
-		WP701	Inadequate HIV assessment in ward
	Assess	WP702	HIV-infected but not adequately screened for TB
HIV		WP703	Inadequate HIV review (testing and staging) in ward
	Manage	WP704	Delayed initiation of ART in ward
	Monitor	WP705	HIV results (including serology, PCR and viral load) not obtained in ward
		WP801	Inadequate TB assessment in ward
	Assess	WP802	TB-infected child, but not screened for HIV
TD		WP803	Inadequate TB review in ward
ТВ	Managa	WP804	Inadequate initiation of TB treatment
	Manage	WP805	Incorrect TB regimen prescribed in ward
	Monitor	WP806	TB not notified
Immunisation	Manage	WP901	Immunisations not brought up to date in ward
		WPX01	Doctor not called for critically ill child in ward
		WPX02	No hand-over of critically ill child in ward
		WPX03	Doctor called, but did not respond and/or did not come
		WPX06	Junior doctor did not call more senior doctor to ward
	Communication	WPX07	Doctor at peripheral hospital did not call referral hospital
		WPX08	Inadequate advice from higher level facility
		WPX09	Unable to contact responsible doctor at higher level facility
Cross-cutting		WPX10	Critical clinical information inadequately communicated between ward staff
		WPX11	Ward staff inadequately communicated with caregiver
	Monitor	WPX12	Critically ill child not reviewed by doctor during weekend/public holiday in ward
		WPX04	Essential prescribed treatment not given in ward
	Managa	WPX05	No team decision for terminal care
	Manage	WPX13	Appropriate blood product not prescribed
		WPX14	Essential treatment not prescribed
Other	Other	WPO	Other clinical personnel modifiable factor in ward (COMMENT)
			Ward - Administrator
Descude	Natas	WAR01	Inadequate record keeping system for children in ward
Records	Notes	WAR02	Inadequate notes on administrator problems in ward
	Consumables	WA101	Inadequate blood product supply to ward
		WA102	No functioning pulse oximeter in ward
Emergency &	Facilities	WA103	Inadequate oxygen supply to ward
Priority Conditions	Equipment	WA104	Inadequate suction in ward
232.60110		WA105	Inadequate resuscitation area and/or trolley in ward
	Buildings/Beds	WA106	Lack of High Care and/or ICU facilities for children in own and higher level facility
ARI	Equipment	WA201	Inadequate oxygen delivery equipment in ward
Nutrition/ Intake	Care Seeking & Compliance	WA501	Inadequate supply of food/milk to ward
PSBI	Consumables	WA601	Inadequate antibiotic supply to ward





Priority Condition or Activity	Category	v3 Code	Modifiable factor
		WAX01	Inadequate number of doctors assigned to children's ward
		WAX02	Doctors in children's ward inadequately supervised
		WAX03	Inadequate number of nurses assigned to children's ward
	Staff	WAX04	Inadequate supervision of nurses in children's ward
		WAX05	Lack of professional nurse in children's ward 24 hours a day
		WAX06	Lack of experienced doctors (post Community Service)
		WAX07	Lack of access to specialist service
Cross-cutting	Consumables	WAX08	Inadequate ward stock of essential consumables
	Consumables	WAX09	Inadequate hospital stock of essential consumables
	Laboratory	WAX10	Basic laboratory investigations not available to ward 24 hours a day
	Radiology	WAX14	Lack of appropriate radiological services, e.g. X-ray, CT scan (COMMENT)
	Buildings/Beds	WAX11	Lack of hospital beds and/or ward overcrowded
		WAX15	Lack of access to theatre
	Delieu	WAX12	Lack of standardised case management protocols in ward
	Policy	WAX13	No policy or system for weekend and/or public holiday ward rounds
Other	Other	WAO	Other administrator modifiable factor in ward (COMMENT)
			Ward - Family or Caregiver
	Notes	WFR01	RTHC information not present in child's folder
Records	Care Seeking &	WFR02	Child's 'patient held records' left at home
	Compliance	WFR03	Previous folder number and/or discharge letter not available
Danger signs	Care Seeking & Compliance	WF101	Caregiver declined consent for life-saving intervention in ward
HIV	Care Seeking & Compliance	WF701	Caregiver declined HIV test in ward
Other	Other	WFO	Other caregiver modifiable factor in ward (COMMENT)







Admissions and Emergency Care

Priority Condition or Activity	Category	v3 Code	Modifiable factor				
	Admissions and Emergency Care - Clinical Personnel						
Records	Notes	EPR01	Inadequate notes on clinical care (assessment, management, monitoring at A&E)				
Records	Notes	EPR02	Admission records incomplete or inappropriate				
	History	EPM01	Inadequate history taken at A&E				
	Examination	EPM02	Inadequate physical examination at A&E				
Clinical	Investigation	EPM03	Inadequate investigations (blood, x-ray, other) at A&E				
methods	investigation	EPM04	Results of urgent investigations not obtained at A&E				
	Problem List	EPM05	Inadequate problem list compiled at A&E				
	Plan	EPM06	Inadequate emergency care plan in A&E				
		EP101	Emergency signs not recognised at A&E				
Emergency & Priority	Assess	EP102	Priority signs not recognised at A&E				
Conditions		EP103	Not classified as critically ill despite presence of danger signs at A&E				
	Manage	EP104	Child not triaged at A&E (spent time in a queue)				
	Assess	EPA01	Airway obstruction not recognised or correctly classified at A&E				
Airway	Manage	EPA02	Inadequate management of airway obstruction in A&E				
	Monitor	EPA03	Critical airway not monitored at A&E				
	Assess	EPB01	Respiratory rate not taken, respiratory distress not noticed in A&E				
Proathing	Manage	EPB02	Correct oxygen therapy not prescribed or not given at A&E				
Breathing	Monitor	EPB03	Oxygen saturation not monitored at A&E				
	Monitor	EPB04	Respiratory rate not monitored at A&E				
	Assess	EPC01	Inadequate assessment of shock at A&E				
Circulation	Manage	EPC02	Inadequate treatment for shock in A&E (fluid type, amount, rate, intraosseous line)				
	Monitor	EPC03	Shock not monitored while awaiting admission at A&E				
	Assess	EP301	Inadequate assessment of dehydration at A&E				
DD	Manage	EP302	Inadequate rehydration plan at A&E				
	Monitor	EP303	Hydration not reviewed at A&E				
	Assess	EP401	Convulsions not recognised at A&E				
	Manage	EP402	Convulsions not managed according to accepted protocol at A&E				
Convulsions/	Monitor	EP403	Convulsions not monitored at A&E				
Convuisions/	Assess	EP411	Inadequate assessment of level of consciousness at A&E				
201112	Manage	EP412	Inadequate management of child with depressed LOC at A&E				
	Monitor	EP413	Blood glucose not monitored in child with danger signs at A&E				
	Pioritoi	EP414	Level of consciousness not monitored at A&E				
	Assess	EP601	Possible serious bacterial infection not considered at A&E				
PSBI	Manage	EP602	Appropriate antibiotics not prescribed at A&E				
r 3DI	Investigation	EP603	Important cultures (blood, CSF, urine) not sent at A&E				
	Monitor	EP604	LP result not obtained at A&E				
HIV	Assess	EP701	Inadequate HIV assessment at A&E				
		EPX01	Inadequate communication by staff to caregiver at A&E				
Cross-cutting	Communication	EPX02	Doctor not called for critically ill child at A&E				
Cross-cutting		EPX03	Doctor called for A&E, but did not respond or did not come				
		EPX04	No hand-over of critically ill child from admitting doctor to ward doctor at A&E				
Other	Other	EPO	Other clinical personnel modifiable factor at A&E (COMMENT)				





Priority Condition or Activity	Category	v3 Code	Modifiable factor
-		Admission	ns and Emergency Care - Administrator
Records	Notes	EAR01	Inadequate notes on administrator problems at A&E
Records	Notes	EAR02	Inadequate record keeping system for A&E
	Policy	EA101	Barriers to entry to A&E service
	Staff	EA102	Insufficient professional nurses allocated to A&E
Emergency & Priority	Stail	EA103	No A&E staff trained in ETAT/BLS/APLS/ATLS/SATS
Conditions	Consumables	EA104	Inadequate blood product supply at A&E
	Consumables	EA105	Inadequate emergency drugs at A&E
	Buildings/Beds	EA106	Inadequate paediatric resuscitation area in casualty/OPD
Airway	Equipment	EAA01	Inadequate suction capability in A&E
Proathing	Equipment	EAB01	No pulse oximeter at A&E
Breathing	Consumables	EAB02	Inadequate oxygen supply and/or equipment at A&E
Circulation	Consumables	EAC01	Inadequate IV fluid supply at A&E
Dehydration	Equipment	EA301	No mechanical intravenous flow controller available at A&E
Convulsions/	Consumables	EA401	Intravenous phenobarbitone not available at A&E
Coma	Equipment	EA402	No children's coma score sheet available at A&E
PSBI	Consumables	EA601	Inadequate antibiotic supply at A&E
	Staff	EAX01	Lack of experienced doctors at A&E (post Community Service)
	Transport	EAX04	Inadequate transport from home to A&E
	Laboratory	EAX05	24-hour emergency laboratory investigations not available to A&E
		EAX06	Lack of ward beds, delaying movement out of Emergency Room
Cross-cutting	Buildings/Beds	EAX07	Lack of beds in the resuscitation area/Emergency Room in A&E
	Buildings/Beds	EAX08	Lack of Intensive and High Care beds in own, or higher level hospital
		EAX11	Lack of access to theatre time/functional theatre
	B. F.	EAX09	No formal, structured triage system for A&E
	Policy	EAX10	Lack of standardised case management protocols at A&E
Other	Other	EAO	Other administrator modifiable factor at A&E (COMMENT)
	Ad	missions a	and Emergency Care - Family or Caregiver
Danger signs	Care Seeking &	EF101	Did not arrive at A&E on day of referral
Danger signs	Compliance	EF102	Declined consent for life saving procedure in A&E
HIV	Care Seeking & Compliance	EF701	Caregiver declined HIV test in A&E
Records	Notes	EFR01	Caregiver did not bring RTHC and/or referral letter to A&E
Cross-cutting	Care Seeking &	EFX01	Primary caregiver not present at A&E
Cross-cutting	Compliance	EFX02	Accompanying caregiver knew little about the child at A&E
Other	Other	EFO	Other caregiver modifiable factor at A&E (COMMENT)



Modifiable Factors



Referring Facility and Transit Care

Priority Condition or Activity	Category	v3 Code	Modifiable factor
	Re	ferring Fa	cility and Transit Care - Clinical Personnel
	Notes	TPR01	Inadequate notes on transit care
	Notes	TPR02	Inadequate referral letter from referring facility
	Assess	TPP01	Severity of child's condition incorrectly assessed at referring facility
	ASSESS	TPP02	Child not re-assessed at time of departure from referring facility
Referring		TPP03	Emergency or priority care not provided at referring hospital
facility	Manage	TPP04	Referring pathway and/or procedure not followed by referring facility
		TPP05	No or delayed referral to higher level
	Monitor	TPP06	Child with life-threatening condition not monitored at referring facility while awaiting ambulance
	Manage	TPP07	No plan for transporting caregiver to receiving facility
	Manage	TPP08	Inappropriate care or late referral from private sector/GP
	Assess	TPI01	Child not assessed properly by ambulance crew at time of entry into ambulance
In-transit care	ASSESS	TPI02	Major complications (e.g. blocked or dislodged ETT) in ambulance not identified
III-transit care	Manage	TPI03	Child not managed correctly in ambulance
	Monitor	TPI04	Child not monitored correctly in ambulance
Other	Other	TPO	Other clinical personnel modifiable factor in transit care (COMMENT)
	ı	Referring I	Facility and Transit Care - Administrator
Records	Notes	TAR01	Inadequate record keeping system for proper transit care
Pre-transit care	Staff	TAP01	No nurse assigned responsibility for monitoring the child while awaiting the ambulance
Referring facility	Staff	TAP02	No doctor assigned responsibility for monitoring the child while awaiting the ambulance
	Consumables	TAP03	Inadequate critical care consumables (e.g. volume expander, ETT, ICD) in referring facility
Referring	Equipment	TAP04	Inadequate monitoring and critical care equipment (e.g. ventilator) in referring facility
facility	Buildings/Beds	TAP05	No high care bed in referring facility for pre-transfer care of critically ill child
	Policy	TAP06	Referral pathways and/or procedures not clear to referring and/or receiving facility
		TAI01	Inadequate ambulance service from health facility to receiving hospital
	Transport	TAI02	No ambulance available for transfer from referring to receiving hospital
	Transport	TAI03	Delayed arrival of ambulance at referring facility
		TAI06	No or inappropriate grade of ambulance (i.e. vehicle) available
In-transit care	Staff	TAI04	Grade of ambulance crew (i.e. personnel) inappropriate for child's condition
	Consumables	TAI05	Inadequate in-transit consumables (e.g. volume expanders, dextrose, anticonvulsant) in ambulance
	Equipment	TAI07	Inadequate monitoring and critical care equipment in ambulance
	Policy	TAI08	No known/available policy on child transfers in ambulance service (EMRS)
Other	Other	TAO	Other administrator modifiable factor in transit care (COMMENT)
		erring Fac	ility and Transit Care - Family or Caregiver
Danger signs	Care Seeking & Compliance	TF101	Caregiver not available to accompany child on transfer
Other	Other	TFO	Other caregiver modifiable factor in transit care (COMMENT)



Modifiable Factors





Clinic and Outpatient Care

Priority Condition or Activity	Category	v3 Code	Modifiable factor			
	Clinic and Outpatient Care - Clinical Personnel					
		CPR01	Inadequate notes on clinical care (assess, classify, treat) at clinic			
Records	Notes	CPR02	RTHC inadequately documents child's health history			
		CPR03	Inadequate referral letter from clinic to hospital			
		CPM01	IMCI not used for patient assessment at clinic/OPD			
	Assess	CPM02	Incorrect IMCI assessment at clinic/OPD			
Clinical	, 155555	CPM03	Insufficient assessment for chronic illness at clinic/OPD			
methods		CPM04	Insufficient investigations done at clinic/OPD			
_	Classify	CPM05	Inadequate IMCI classification at clinic/OPD			
	Treat	CPM07	IMCI not used for case management at clinic/OPD			
		CPM08	IMCI not used to guide patient referral from clinic/OPD			
-	Assess	CP101	Danger signs missed at clinic/OPD			
Danger signs	Treat	CP102	Inadequate response to danger signs at clinic/OPD			
-	Manitan	CP103	Delayed referral of child with danger signs from clinic/OPD			
	Monitor	CP104	Child with danger signs not monitored at clinic/OPD			
	Assess	CP201	Inadequate assessment for ARI at clinic/OPD			
ADT	Treat	CP202	Oxygen not prescribed or given at clinic/OPD			
ARI	Manage	CP203 CP204	Bronchodilator not given to child with wheeze in clinic/OPD Delayed referral for ARI from clinic/OPD			
-	Monitor	CP205	Oxygen saturation not monitored at clinic/OPD			
	Assess	CP301	Severity of dehydration incorrectly assessed at clinic/OPD			
-	, 65055	CP302	Inadequate fluid management for DD with dehydration at clinic/OP			
DD	Manage	CP303	Delayed referral for child with severe dehydration from clinic/OPD			
	3	CP304	Delay in referring chronic diarrhoea from clinic/OPD			
	Monitor	CP305	Inadequate review of child with dehydration at clinic/OPD			
		CP401	Meningitis not considered in child with fever at clinic/OPD			
	Assess	CP402	Malaria not considered in child with fever at clinic/OPD			
Fever		CP403	Antipyretic measures not taken at clinic/OPD			
	Treat	CP404	Appropriate anti-meningitis treatment not initiated at clinic/OPD			
		CP405	Appropriate anti-malarial treatment not initiated at clinic/OPD			
		CP501	Growth not plotted correctly on RTHC			
Nutrition/	Assess	CP502	Child's growth problem (severe malnutrition, not growing well) inadequately identified or classified			
Intake		CP503	Inadequate response to growth faltering or failure at clinic/OPD			
	Manage	CP504	Delayed referral for severe malnutrition, weight loss, or growth faltering from clinic/OPD			
	Monitor	CP505	No follow up for child's nutritional problem at clinic/OPD			
PSBI	Assess	CP601	Possible serious bacterial infection (PSBI) not considered at clinic/OPD			
, 551	Manage	CP602	Appropriate antibiotics not given for PSBI at clinic/OPD			
		CP701	No documentation of mother's antenatal HIV status at clinic/OPD			
	Assess	CP702	Inadequate assessment for HIV (IMCI not used) at clinic/OPD			
1.173.4		CP703	No clear documentation of child's HIV status at clinic/OPD			
HIV		CP704	Inadequate response to HIV classification at clinic/OPD			
	Manage	CP705	Not referred for ART from clinic/OPD, though indicated			
	M = !± :	CP706	Referred for ART but ART not initiated			
	Monitor	CP707	HIV result not obtained/documented at clinic/OPD			





Priority Condition or Activity	Category	v3 Code	Modifiable factor		
	Assess	CP801	Inadequate assessment for household TB contact at clinic/OPD		
ТВ		CP802	No response to/delayed referral of chronic cough (>2 weeks) at clinic/OPD		
ID	Manage	CP803	INH prophylaxis not initiated in child with household TB contact		
		CP804	Child had household TB contact, but no contact tracing was done		
Immunisation	Disease Prevention	CP901	Missed vaccines despite clinic/OPD attendance		
	Communication	CPX01	Communication problems: staff to caregiver		
Cross cutting	Communication	CPX02	Staff to staff communication problem at clinic or between clinic & hospital		
Cross-cutting	Assess	CPX03	Insufficient assessment for non-IMCI condition at clinic/OPD		
	Manage	CPX04	Delay in referring other acute problem from clinic/OPD		
Other	Other	СРО	Other clinical personnel modifiable factor at clinic/OPD (COMMENT)		
		Clinic a	and Outpatient Care - Administrator		
Records	Notes	CAR01	Inadequate record keeping system in clinic		
Clinical	Delie	CAM01	Lack of standardised case management protocols in clinic/OPD		
methods	Policy	CAM02	Inadequate IMCI implementation at clinic/OPD		
	T	CA101	No transport from home to clinic		
	Transport	CA102	No emergency transport from clinic to hospital		
		CA103	No pulse oximeter at clinic/OPD		
	Equipment	CA104	No suction at clinic/OPD		
Danger signs	Buildings/Beds	CA105	Lack of high care beds and/or resuscitation area in clinic/OPD		
		CA106	No clinic within reach of child's home or limited opening times		
	D. P.	CA107	No policy on short-stay for paediatric patients at clinic/OPD		
	Policy	CA108	Barriers to entry to clinic/OPD		
	Laboratory	CA109	Basic laboratory investigation not available (e.g. blood glucose)		
	6	CA201	No oxygen or oxygen delivery system at clinic/OPD		
ARI	Consumables	CA202	No bronchodilators at clinic/OPD		
	Equipment	CA203	No spacer or nebuliser for bronchodilators at clinic/OPD		
2	Caracinachlac	CA301	No oral rehydration solution at clinic/OPD		
DD	Consumables	CA302	Inadequate intravenous sets or solutions at clinic/OPD (incl. intra-osseous)		
PSBI	Consumables	CA601	Inadequate antibiotics at clinic (as per IMCI/EDL)		
	Consumables	CA701	No ART drugs available at clinic/OPD		
		CA702	Delayed or lost laboratory results (especially HIV) at clinic/OPD		
HIV	Laboratory	CA703	Initiation of ART at clinic/OPD delayed due to lost or delayed laboratory investigations		
	Buildings/Beds	CA704	No ART service provided at clinic/OPD		
Cross-cutting	Staff	CAX01	No professional nurse at clinic/OPD		
Other	Other	CAO	Other administrator modifiable factor at clinic/OPD (COMMENT)		
Clinic and Outpatient Care - Family or Caregiver					
Records	Care Seeking & Compliance	CFR01	Caregiver did not bring RTHC and/or referral letter to clinic		
Danger Signs		CF101	Caregiver refused treatment at clinic		
Cross-cutting		CFX01	Did not arrive at clinic/OPD on day of referral/did not keep appointment		
Other	Other	CFO	Other caregiver modifiable factor at clinic/OPD (COMMENT)		





Home Care

Priority Condition or Activity	Category	v3 Code	Modifiable factor		
Home Care - Clinical Personnel					
Records	Notes	HPR01	Insufficient notes on home circumstances or child's health history		
Danger signs	Disease	HP101	Caregiver not advised about danger signs at previous visit		
Danger signs	Prevention	HPX02	Caregiver not advised about home treatment at previous visit		
Nutrition/ Intake	Growth & Development	HP501	Never referred to integrated nutrition programme (INP)		
	Assess	HP701	Caregiver not assessed and managed for HIV&AIDS		
HIV	Disease Prevention	HP702	Sibling of child with HIV&AIDS, but never traced and assessed		
ТВ	Disease	HP801	TB not notified		
10	Prevention	HP802	No TB contact tracing or treatment at home		
Cross-cutting	Growth & Development	HPX01	Not referred for social grant, though eligible		
Other	Other	HPO	Other clinical personnel modifiable factor in home/community (COMMENT)		
		T	Home Care - Administrator		
Records	Notes	HAR01	Lost RTHC not replaced (facility 'policy' not to replace cards)		
Danger signs	Transport	HA101	Inadequate transport from home to nearest health facility		
DD	Disease	HA301	No tap water at home		
	Prevention	HA302	No electricity		
	Correctly 0	HAX01	Referred for grant (CSG, CDG, FCG) but never received		
Cross-cutting	Growth & Development	HAX02	Primary caregiver unemployed or no household breadwinner		
Cross-cutting		HAX03	Child came from child-headed household		
	Policy	HAX05	No home/community IMCI in health subdistrict		
Other	Other	HAO	Other administrator modifiable factor at home (COMMENT)		
		H	ome Care - Family or Caregiver		
Records	Disease Prevention	HFR01	RTHC not used or lost by caregiver		
11000100	Care Seeking & Compliance	HFR02	Caregiver unaware of child's health history		
		HF101	Caregiver did not recognise danger signs/severity of illness		
	Care Seeking & Compliance	HF102	'Traditional remedy' given from traditional healer with negative effect on child		
Danger signs	Compilarice	HF104	Caregiver delayed seeking care		
	Home Treatment	HF103	Inappropriate treatment given at home with negative effect on the child, e.g. enema		
Nutrition/ Intake	Growth & Development	HF501	Child not provided with adequate (quality and/or quantity) food at home		
HIV	Care Seeking & Compliance	HF701	Caregiver declined HIV test for the child		
Immunisation	Care Seeking & Compliance	HF901	Caregiver did not take child to clinic for vaccines as scheduled		
		HFA01	Child accessed poison/drug		
Accidents	Home Treatment	HFA02	Unsafe home environment (e.g. open flames)		
		HFA03	No adult supervision at home		
Cross-cutting	Care Seeking & Compliance	HFX01	Caregiver took child to clinic infrequently		
Other	Other	HFO	Other caregiver modifiable factor at home/in community (COMMENT)		