Quantum stochastic semigroups

Martin Lindsay

Lancaster University

Operator Algebras & Quantum Dynamics University of Pretoria, 12 - 14 July, 2017

- 1 Quantum dynamical semigroups: Minimality
- 2 QS cocycles: Examples, constructions, differentiation
- 3 Holomorphic contraction semigroups
- 4 Holomorphic QS cocycles: Generation & characterisation
- 5 Stochastic dilation of minimal quantum dynamical semigroups

The main (later) part of this talk is based on joint work with Kalyan B. Sinha (Jawaharal Nehru Centre for Advanced Scientific Research, and Indian Institute of Science, Bangalore).

Quantum dynamical semigroups (QDS)

Setup 1/3

• h a fixed Hilbert space.

Definition: Quantum dynamical semigroup on $B(\mathfrak{h})$

A QDS is a pointwise ultraweakly continuous semigroup $\mathcal{T} = (\mathcal{T}_t)_{t \ge 0}$ of normal, completely positive, contractions on $B(\mathfrak{h})$; it is called *conservative* if it is unital, i.e. identity preserving.

Theorem (Lindblad, 1976; Gorini–Kossakowski–Sudarshan, 1976)

The norm-continuous QDS's are all semigroups $(e^{t\mathcal{L}})_{t\geq 0}$ where \mathcal{L} has the form

 $x \mapsto x K + K^* x + L^*(x \otimes I_k)L$, in which $K \in B(\mathfrak{h}), L \in B(\mathfrak{h}; \mathfrak{h} \otimes k)$ and $K + K^* + L^*L \leq 0$,

for some Hilbert space k.

Minimal QDS's

Setup 2/3

- $\bullet~\mathfrak{h}$ and k, two fixed Hilbert spaces
- \$\mathcal{X}_2(\mu, k)\$ denotes the collection of pairs (K, L) such that K is the generator of a contractive C₀-semigroup on \$\mu\$; L is an operator from \$\mu\$ to \$\mu\$ ⊗ \$k\$ with Dom L ⊃ Dom K; \$\$\$\$ ||Lv||² + 2 Re⟨v, Kv⟩ ≤ 0\$ for all v ∈ Dom K.
- Associated quadratic forms: for x ∈ B(h),

 *L*_{K,L}(x)[v] := ⟨v, x Kv⟩+⟨Kv, xv⟩+⟨Lv, x⊗l_k Lv⟩, v ∈ Dom K.

Definition (A QDS \mathcal{T} is a minimal QDS for $(K, L) \in \mathfrak{X}_2(\mathfrak{h}, \mathsf{k})$ if:)

• For all $x \in B(\mathfrak{h})$, $v \in \mathsf{Dom}\, K$ and $t \in \mathbb{R}_+$,

$$\langle v, \mathcal{T}_t(x)v \rangle = \langle v, xv \rangle + \int_0^t ds \ \mathcal{L}_{\mathcal{K},L}(\mathcal{T}_s(x))[v].$$
 (*

• For any other QDS \mathcal{T}' satisfying (*),

 $\mathcal{T}_t(x) \leq \mathcal{T}_t'(x), \quad ext{ for all } t \in \mathbb{R}_+, x \in B(\mathfrak{h})_+.$

Theorem (Davies, 1977; after Kato, 1954 and Feller, 1940)

Let $(K, L) \in \mathfrak{X}_{2}(\mathfrak{h}, \mathsf{k})$. Then there is a unique minimal QDS associated to (K, L).

Notation: $\mathcal{T}^{K,L}$.

Remark

If $\mathcal{T}^{\mathcal{K},L}$ is conservative then $\mathcal{L}_{\mathcal{K},L}(1)=0$, in other words

$$||Lv||^2 + 2 \operatorname{Re}\langle v, Kv \rangle = 0, \quad v \in \operatorname{Dom} K.$$

Setup

Setup 3/3

 $\bullet~\mathfrak{h}$ and k, two fixed Hilbert spaces

•
$$\mathcal{F} := \Gamma(L^2(\mathbb{R}_+; \mathsf{k}))$$
, where $\Gamma(\mathsf{H}) := \mathbb{C} \oplus \mathsf{H} \oplus \mathsf{H}^{\vee 2} \oplus \cdots$

• $\varpi(f) := \exp(-\|f\|^2/2)\varepsilon(f), f \in L^2(\mathbb{R}_+; \mathsf{k})$, where $\varepsilon(f) := (1, f, (2!)^{-1/2} f^{\otimes 2}, \cdots)$

•
$$\Delta := \begin{bmatrix} 0 & 0 \\ 0 & I \end{bmatrix} \in B(\mathfrak{h} \oplus (\mathfrak{h} \otimes \mathsf{k})), \ \begin{pmatrix} z \\ c \end{pmatrix} \mapsto \begin{pmatrix} 0 \\ c \end{pmatrix}$$

$$\mathcal{F} = \mathcal{F}_{[0,r[} \otimes \mathcal{F}_{[r,t[} \otimes \mathcal{F}_{[t,\infty[}, \text{ where } \mathcal{F}_{[r,t[} := \Gamma(L^2([r,t[;k))$$

Setup 3/3 contd.

On $B(\mathfrak{h} \otimes \mathcal{F}) = B(\mathfrak{h}) \overline{\otimes} B(\mathcal{F})$, the (ampliated) *CCR flow* $(\sigma_t)_{t \ge 0}$ and *conditional expectations* $(\mathbb{E}_t)_{t \ge 0}$, satisfy

$$\operatorname{\mathsf{Ran}} \sigma_t = B(\mathfrak{h}) \overline{\otimes} (I_{[0,t[} \otimes B(\mathcal{F}_{[t,\infty[}))), \text{ and} \\ \operatorname{\mathsf{Ran}} \mathbb{E}_t = B(\mathfrak{h}) \overline{\otimes} B(\mathcal{F}_{[0,t[}) \otimes I_{[t,\infty[}.$$

Definition (Notation: $\mathbb{QS}_{c}\mathbb{C}(\mathfrak{h}, k)$.)

 $V = (V_t)_{t \geq 0}$ contractions in $B(\mathfrak{h} \otimes \mathcal{F})$ satisfying

•
$$V_{s+t} = V_s \sigma_s(V_t)$$
 and $V_0 = I$

•
$$V_t \in B(\mathfrak{h} \otimes \mathcal{F}_{[0,t[}) \otimes I_{[t,\infty[}))$$

• $t \mapsto V_t$ is strongly continuous

 $\sigma_{s}(V_{t}) \in B(\mathfrak{h}) \otimes I_{[0,s[} \overline{\otimes} B(\mathcal{F}_{[s,s+t[}) \otimes I_{[s+t,\infty[}$

Expectation semigroup of V

$$P^{0,0} := (\mathbb{E}[V_t])_{t \ge 0} \quad \text{where } \mathbb{E} := \mathrm{id}_{B(\mathfrak{h})} \overline{\otimes} \omega_{\varepsilon(0)}.$$

 $\mathbb{E} = \mathbb{E} \circ \mathbb{E}_s$ and $\mathbb{E}_s \circ \sigma_s = \iota_{B(\mathcal{F})} \circ \mathbb{E}$.

Examples

Example 0: $V := (P_t \otimes I_F)_{t \ge 0}$

where $P = (P_t)_{t \ge 0}$ is a contractive C_0 -semigroup on \mathfrak{h} .

Example 1: $V := (e^{iH \otimes M_{B_t}})_{t \ge 0}$ [Case: dim k = 1]

where H is a selfadjoint operator on \mathfrak{h} , $B = (B_t)_{t \ge 0}$ is a Brownian motion, and $L^2(\mathcal{W}) \cong \mathcal{F}$ (Wiener-Segal-Itô isomorphism).

Example 2: Weyl cocycles, $W^c := (I_{\mathfrak{h}} \otimes W(c_{[0,t[}))_t >_0 (c \in \mathsf{k}))$

where W(f) is the (unitary) Fock-Weyl operator determined by

$$W(f) arpi(g) = e^{-i \operatorname{Im} \langle f,g
angle} arpi(f+g), \quad g \in L^2(\mathbb{R}_+;\mathsf{k}).$$

 $W(c_{[0,r+t[}) = W(c_{[0,r[})W(c_{[r,r+t[}) \& W(c_{[r,r+t[}) = \sigma_r(W(c_{[0,t[})).$

Constructions: Associated cocycles and dual cocycles

Let $V \in \mathbb{QS}_{c}\mathbb{C}(\mathfrak{h}, \mathsf{k})$,

Definition (Associated cocycles)

$$V^{c,d} \in \mathbb{QS}_c\mathbb{C}(\mathfrak{h},\mathsf{k})$$
, where
 $V^{c,d} := \left((W_t^c)^* V_t W_t^d \right)_{t \ge 0}, \quad c,d \in \mathsf{k}.$

 $\sigma_r(V_t) \smile I_{\mathfrak{h}} \otimes W(e_{[0,r[}) \text{ in } B(\mathfrak{h}) \otimes \mathcal{F}_{[0,r[} \otimes \mathcal{F}_{[r,\infty[}.$

Definition (Dual cocycle)

 $\widetilde{V} \in \mathbb{QS}_{c}\mathbb{C}(\mathfrak{h},\mathsf{k})$, where

$$\widetilde{V} := \left((I_{\mathfrak{h}} \otimes R_t) V_t^* (I_{\mathfrak{h}} \otimes R_t) \right)_{t \geq 0}$$

Here R_t is the (unitary) *time-reversal operator* determined by $R_t \varepsilon(f) := \varepsilon(r_t f), \quad f \in L^2(\mathbb{R}_+; \mathsf{k})$ with $(r_t f)(s) := f(t-s)$ for $s \in [0, t[$ and := f(s) for $s \in [t, \infty[$.

Differential quotients and associated operators

Isometric embeddings: $\mathfrak{h} \otimes \mathsf{k} \to \mathfrak{h} \otimes \mathsf{k} \otimes L^2(\mathbb{R}_+) \subset \mathfrak{h} \otimes \mathcal{F}$

$$E_t: \xi \mapsto \xi \otimes t^{-1/2} \mathbb{1}_{[0,t[} \quad (t > 0).$$

Differential quotients. For $V \in \mathbb{QS}_c\mathbb{C}(\mathfrak{h}, \mathsf{k})$, define

- $\mathcal{K}^{V}(t) := t^{-1} \big(I_{\mathfrak{h}} \otimes \langle \Omega | \big) (V_{t} I_{\mathfrak{h} \otimes \mathcal{F}}) \big(I_{\mathfrak{h}} \otimes | \Omega \rangle \big) \in \mathcal{B}(\mathfrak{h})$
- $L^{V}(t) := t^{-1/2}(E_t)^*(V_t I_{\mathfrak{h} \otimes \mathsf{k}})(I_{\mathfrak{h}} \otimes |\Omega\rangle) \in B(\mathfrak{h}; \mathfrak{h} \otimes \mathsf{k})$
- $C^{V}(t) := I_{\mathfrak{h}\otimes k} + (E_{t})^{*}(V_{t} I_{\mathfrak{h}\otimes \mathcal{F}})E_{t} \in B(\mathfrak{h}\otimes k).$

Properties (JML–Wills, 2007). Set $K^V := \text{st-lim}_{t \to 0^+} K^V(t)$

- $\{v \in \mathfrak{h} : L^{V}v := \text{w-lim}_{t \to 0^{+}} L^{V}(t)v \text{ exists}\} \supset \text{Dom } K^{V}$, and $\|L^{V}v\|^{2} + 2 \operatorname{Re}\langle v, K^{V}v \rangle \leq 0$. Thus $(K^{V}, L^{V}) \in \mathfrak{X}_{2}(\mathfrak{h}, \mathsf{k})$.
- $(C^V(t) = (E_t)^* V_t E_t)_{t>0}$ is a family of contractions in $B(\mathfrak{h} \otimes k)$.

Nonsingularity and Associated quadruple of operators

Definition

 $V \in \mathbb{QS}_c\mathbb{C}(\mathfrak{h}, \mathsf{k})$ is nonsingular if $(C^V(t))_{t>0}$ converges as $t \to 0^+$ (W.O.T.); equiv., Dom $L^{V^{c,d}}$ is independent of d. Write C^V for the limit.

Remark

If $V \in \mathbb{QS}_c\mathbb{C}(\mathfrak{h}, \mathsf{k})$ satisfies the QSDE $dV_t = V_t d\Lambda_F(t) = V_t(Kdt + LdA_t^* + MdA_t + (C - I)dN_t)$ for an operator $F = \begin{bmatrix} K & M \\ L & C - I \end{bmatrix}$ (with dense domain of the form $\mathcal{D} \oplus (\mathcal{D} \underline{\otimes} D)$), then V is nonsingular and $C^V = C$.

Associated quadruple

Let $V \in \mathbb{QS}_c\mathbb{C}(\mathfrak{h}, \mathsf{k})$ be nonsingular. Then \widetilde{V} is nonsingular, $C^{\widetilde{V}} = (C^V)^*$ and, setting $\widetilde{L}^V := L^{\widetilde{V}}$, we have an associated quadruple $\mathbb{F}^V := (K^V, L^V, \widetilde{L}^V, C^V - I)$.

Elementary QS coycles

Definition

 $V \in \mathbb{QS}_c\mathbb{C}(\mathfrak{h}, \mathsf{k})$ is *elementary* if its expectation semigroup is norm continuous. Write $\mathbb{QS}_c\mathbb{C}_{\operatorname{Elem}}(\mathfrak{h}, \mathsf{k})$ for this class.

Theorem (H–P, 1984). Let $F = \begin{bmatrix} K & M \\ L & N \end{bmatrix} \in B(\mathfrak{h} \oplus (\mathfrak{h} \otimes \mathsf{k})).$

Then the QSDE $dV_t = V_t d\Lambda_F(t)$, $V_0 = I$ has a unique (strong) solution. Notation: V^F .

Bounded QS generators

$$C_0(\mathfrak{h},\mathsf{k}):=\{F\in B(\mathfrak{h}\oplus(\mathfrak{h}\otimes\mathsf{k})):r(F)\leq 0\},$$

where $r(F) := F^* + F + F^* \Delta F$. Fact: $r(F) \leq 0$ iff $r(F^*) \leq 0$.

Theorem (JML–Wills, 2000)

The map $F \mapsto V^F$ restricts to a bijection $C_0(\mathfrak{h}, \mathsf{k}) \to \mathbb{QS}_c \mathbb{C}_{\mathrm{Elem}}(\mathfrak{h}, \mathsf{k}).$

The QS cocycle on $B(\mathfrak{h})$ induced by $V \in \mathbb{QS}_{c}\mathbb{C}(\mathfrak{h}, \mathsf{k})$.

Definition

The induced QS cocycle on $B(\mathfrak{h})$, the induced semigroup on $B(\mathfrak{h} \otimes \mathcal{F})$; its associated semigroups are defined respectively by

$$\begin{split} & \left(k_t^V : x \mapsto \widetilde{V}_t(x \otimes I_{\mathcal{F}})\widetilde{V}_t^*\right)_{t \ge 0}; \quad \left(\mathcal{K}_t^V := \widehat{\mathsf{k}}_t^V \circ \sigma_t\right)_{t \ge 0}; \\ & \left(\mathcal{P}_t^{c,d} : x \mapsto \mathbb{E}\left[(V_t^{e,c})^*(x \otimes I_{\mathcal{F}})V_t^{e,d}\right]\right)_{t \ge 0}. \end{split}$$

Remarks

$$k_t^V(I_{\mathfrak{h}}) = R_t V_t^* V_t R_t$$
 and $\mathbb{E}ig[k_t^V(x)ig] = \mathbb{E}ig[V_t^*(x\otimes I_{\mathcal{F}})V_tig]$

Theorem

Let T be a total subset of k containing 0. Then TFAE: (i) k^{V} is unital, equivalently V is isometric (equiv., K^{V} is unital); (ii) $\mathcal{P}^{c,c}$ is conservative for all $c \in T$.

Holomorphic contraction semigroups on \mathfrak{h}

Theorem (.... ; Ouhabaz, 1992)

On \mathfrak{h} , there is a trijective correspondence between

- (i) semisectorial, m-accretive operators -G;
- (ii) closed, densely defined, semisectorial, accretive quadratic forms q;
- (iii) *holomorphic* contraction semigroups *P*;

such that P is the semigroup generated by G, q is the form-generator of P, and -G is the closed operator associated with q:

$$P_{t}v = \lim_{n \to \infty} (I - n^{-1}tG)^{-n}v \quad (v \in \mathfrak{h}),$$

$$\mathsf{Dom} \ q = \left\{ v \in \mathfrak{h} : \sup_{t>0} t^{-1} \operatorname{Re}\langle v, (I - P_{t})v \rangle < \infty \right\}$$

$$q[v] = \lim_{t \to 0^{+}} t^{-1} \langle v, (I - P_{t})v \rangle$$

$$\mathsf{Dom} \ G = \left\{ v \in \mathsf{Dom} \ q : \exists_{v' \in \mathfrak{h}} \forall_{u \in \mathsf{Dom} \ q} \ \langle u, v' \rangle = -q(u, v) \right\}, \ Gv = v'_{tot}$$

Some definitions. The class $\mathfrak{X}_2^{Hol}(\mathfrak{h},\mathsf{k})$

For a quadratic form q on \mathfrak{h} with domain \mathcal{Q} ,

• q is accretive if

 $\operatorname{\mathsf{Re}} q[v] \ge 0, \quad v \in \mathcal{Q}.$

For an accretive quadratic form q with domain Q,

 \bullet An inner-product norm on ${\cal Q}$ is given by

$$\|v\|_q := (\operatorname{Re} q[v] + \|v\|^2)^{1/2};$$

- q is closed if Q is complete in the norm $\|\cdot\|_q$;
- q is semisectorial if there is $C \ge 0$ such that

$$|\operatorname{Im} q[v]| \leq C ||v||_q, \quad v \in Q.$$

Set $\mathfrak{X}_{2}^{Hol}(\mathfrak{h}, \mathsf{k})$ equal to

 $\{(K, L) \in \mathfrak{X}_2(\mathfrak{h}, \mathsf{k}) : -K \text{ is semisectorial and } \mathsf{Dom} \ L = \mathsf{Dom} \ q\}$

where q is the quadratic form associated with $-K_{+}$, k_{+} ,

Holomorphic QS contraction cocycles: definition

Definition

We call $V \in \mathbb{QS}_c\mathbb{C}(\mathfrak{h}, \mathsf{k})$ holomorphic if its expectation semigroup is holomorphic. Write $\mathbb{QS}_c\mathbb{C}_{Hol}(\mathfrak{h}, \mathsf{k})$ for the collection of these.

Thus

$$\mathbb{QS}_{c}\mathbb{C}_{\mathrm{Elem}}(\mathfrak{h},\mathsf{k})\subset\mathbb{QS}_{c}\mathbb{C}_{\mathrm{Hol}}(\mathfrak{h},\mathsf{k}),$$

and

 \widetilde{V} is homolorphic if and only if V is.

Theorem

Let $V \in \mathbb{QS}_c\mathbb{C}_{Hol}(\mathfrak{h}, \mathsf{k})$. Then V and let γ^V be the form-generator of its expectation semigroup. Then

- V is nonsingular,
- Dom L^V , Dom $\widetilde{L}^V \supset$ Dom γ^V .

Therefore V has an associated quadruple

$$\mathbb{F}^{V} = (K^{V}, L^{V}, \widetilde{L}^{V}, C^{V} - I_{\mathfrak{h} \otimes \mathsf{k}}).$$

Theorem

Let $V \in \mathbb{QS}_c\mathbb{C}_{Hol}(\mathfrak{h}, \mathsf{k})$. Then each of its associated cocycles $V^{c,d}$ is holomorphic.

Structure relations

Definition

Set $\mathfrak{X}_{4}^{\text{Hol}}(\mathfrak{h}, \mathsf{k})$ equal to the set of quadruples $\mathbb{F} = (K, L, \widetilde{L}, C - I)$ such that

- K is the generator of a holomorphic contraction semigroup on \mathfrak{h} (let γ be the form-generator),
- L, \widetilde{L} are operators from \mathfrak{h} to $\mathfrak{h} \otimes \mathsf{k}$ with domain Dom γ ,

• *C* is a contraction in
$$B(\mathfrak{h} \otimes \mathsf{k})$$
,

• $\|\Delta F\zeta\|^2 \leq 2 \operatorname{Re} \Gamma[\zeta]$,

where ΔF and Γ are the operator and quadratic form given by:

$$\mathsf{Dom}\, \mathsf{\Gamma} = \mathsf{Dom}\, \Delta \mathsf{F} = \mathsf{Dom}\, \gamma \oplus (\mathfrak{h} \otimes \mathsf{k}),$$

$$\Delta F = \begin{bmatrix} 0 & 0 \\ L & C - I \end{bmatrix},$$

$$\Gamma \begin{bmatrix} \begin{pmatrix} v \\ \xi \end{bmatrix} = \gamma [v] - \{ \langle \xi, Lv \rangle + \langle \widetilde{L}v, \xi \rangle + \langle \xi, (C - I)\xi \rangle \}$$

Remarks

We have the inclusion

 $\mathfrak{X}_{4}^{\mathrm{Hol}}(\mathfrak{h},\mathsf{k})\supset\left\{(K,L,M^{*},C-I):\left[\begin{smallmatrix}K&M\\L&C-I\end{smallmatrix}\right]\in C_{0}(\mathfrak{h},\mathsf{k})\right\}$

•
$$(K, L, \widetilde{L}, C - I) \in \mathfrak{X}_4^{\mathrm{Hol}}(\mathfrak{h}, \mathsf{k}) \implies (K, L) \in \mathfrak{X}_2^{\mathrm{Hol}}(\mathfrak{h}, \mathsf{k}).$$

• In the converse direction, if $(K, L) \in \mathfrak{X}_2^{\text{Hol}}(\mathfrak{h}, \mathsf{k})$ then, for any contraction $C \in B(\mathfrak{h} \otimes \mathsf{k})$, we have

$$(K, L, -C^*L, C-I) \in \mathfrak{X}_4^{\operatorname{Hol}}(\mathfrak{h}, \mathsf{k}).$$

In particular, (K, L, -L, 0), $(K, L, 0, -I) \in \mathfrak{X}_4^{\operatorname{Hol}}(\mathfrak{h}, \mathsf{k})$.

The stochastic generator of a homomorphic QS cocycle

Theorem

The prescription

$$V \mapsto \mathbb{F}^V = (K^V, L^V, \widetilde{L}^V, C^V - I_{\mathfrak{h} \otimes \mathsf{k}})$$

defines a bijection

$$\mathbb{QS}_{c}\mathbb{C}_{\mathrm{Hol}}(\mathfrak{h},\mathsf{k})
ightarrow \mathfrak{X}_{4}^{\mathrm{Hol}}(\mathfrak{h},\mathsf{k}),$$

extending (the inverse of) the earlier (L-W) bijection $C_0(\mathfrak{h}, \mathsf{k}) \to \mathbb{QS}_c \mathbb{C}_{\mathrm{Elem}}(\mathfrak{h}, \mathsf{k}), \quad F = \begin{bmatrix} K & M \\ L & C - I \end{bmatrix} \mapsto V^F.$

This justifies the following.

Definition

For $V \in \mathbb{QS}_c \mathbb{C}_{Hol}(\mathfrak{h}, \mathsf{k})$, we refer to \mathbb{F}^V as the *stochastic generator* of V.

Holomorphic QS cocycles induce 'dilations' of minimal QDS's

Theorem

Let $V \in \mathbb{QS}_{c}\mathbb{C}_{Hol}(\mathfrak{h}, \mathsf{k})$. Then

$$\mathbb{E}\big[V_t^*(x\otimes I_{\mathcal{F}})V_t\big] = \mathcal{T}_t^{K,L}(x), \quad x\in B(\mathfrak{h}), t\geq 0. \tag{\dagger}$$

where $(K, L) \in \mathfrak{X}_{2}^{Hol}(\mathfrak{h}, k)$ is the truncation of the stochastic generator of V to its first two components [i.e. \mathbb{F}^{V} has the form (K, L, *, *)].

Corollary

Let $(K, L) \in \mathfrak{X}_{2}^{Hol}(\mathfrak{h}, \mathsf{k})$. Then, letting $V = V^{\mathbb{F}}$, where $\mathbb{F} = (K, L, -C^*L, C - I)$ for a contraction $C \in B(\mathfrak{h} \otimes \mathsf{k})$, e.g. $\mathbb{F} = (K, L, -L, 0)$, (\dagger) holds.