

On Some Ergodic Properties for C^* -dynamical systems arising from Yang-Baxter-Hecke Quantisation

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Outline

- Preliminaries about some ergodic properties for C^* dynamical systems.
- Connections with Yang-Baxter-Hecke quantisation
- Classification of stationary states in the monotone case
- Classification of stationary and symmetric states in the Boolean case

Motivations

- Dykema-Fidaleo (HJM 2010)
The shift on the C^* -algebras generated by Fock representation of q -commutation relations, for $|q| < 1$ is uniquely mixing

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- Dykema-Fidaleo (HJM 2010)
The shift on the C^* -algebras generated by Fock representation of q -commutation relations, for $|q| < 1$ is uniquely mixing
- ...what happens for Fermi, Bose, Boolean, Monotone?
They are examples of the so-called Yang-Baxter-Hecke deformations firstly studied by Bożejko (2012)

1. Symmetric and stationary states

Let \mathfrak{A} be a C^* -algebra and G a group which acts as a group of automorphisms of \mathfrak{A} :

$$\alpha : g \in G \mapsto \alpha_g \in \text{Aut}(\mathfrak{A}).$$

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- $\mathcal{S}_G(\mathfrak{A})$ is the set of the G -invariant states

$$\varphi = \varphi \circ \alpha_g, \quad \varphi \in \mathcal{S}(\mathfrak{A}), \quad g \in G$$

is $*$ -weakly compact in $\mathcal{S}(\mathfrak{A})$.

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(\mathfrak{A}, α_g) is a C^* -dynamical system.

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Examples

- $G = \mathbb{P}_J$

Let J be any set. The group of the permutations \mathbb{P}_J of J is

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- $G = \mathbb{Z}$. We have a (discrete) C^* -dynamical system made by (\mathfrak{A}, α) based on a single automorphism α of \mathfrak{A} , which automatically generates the action of \mathbb{Z} . Invariant states are said *stationary*.

1. Symmetric and stationary states

Focus on $G = \mathbb{Z}$. Suppose that $\mathcal{S}^{\mathbb{Z}}(\mathfrak{A}) = \{\omega\}$ is a singleton. (\mathfrak{A}, α) is said to be *uniquely ergodic*. One can see that unique ergodicity is equivalent to

$$\lim_{n \rightarrow +\infty} \frac{1}{n} \sum_{k=0}^{n-1} f(\alpha^k(a)) = f(\mathbf{1})\omega(a), \quad a \in \mathfrak{A}, f \in \mathfrak{A}^*,$$

or to

$$\lim_{n \rightarrow +\infty} \frac{1}{n} \sum_{k=0}^{n-1} \alpha^k(a) = \omega(a)\mathbf{1}, \quad a \in \mathfrak{A},$$

in norm.

1. Symmetric and stationary states

Some natural generalisations are the *unique weak mixing*:

$$\lim_{n \rightarrow +\infty} \frac{1}{n} \sum_{k=0}^{n-1} |f(\alpha^k(a)) - f(\mathbf{1})\omega(a)| = 0, \quad a \in \mathfrak{A}, f \in \mathfrak{A}^*,$$

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Remark

Unique mixing \Rightarrow unique weak mixing \Rightarrow uniquely ergodic

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For all these cases, $\mathfrak{A}^{\mathbb{Z}} = \mathbb{C}\mathbf{I}$, and the (unique) invariant conditional expectation onto the fixed-point subalgebra is $E(a) = \omega(a)\mathbf{I}$.

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But in general $\mathbb{C}\mathbf{I} \subset \mathfrak{A}^{\mathbb{Z}}$... Now suppose there exists a conditional expectation $E^{\mathbb{Z}} : \mathfrak{A} \rightarrow \mathfrak{A}^{\mathbb{Z}}$. Is it uniquely invariant? So one has that, for $a \in \mathfrak{A}$ and $f \in \mathfrak{A}^*$,

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$$\lim_{n \rightarrow +\infty} \frac{1}{n} \sum_{k=0}^{n-1} f(\alpha^k(a)) = f(E^{\mathbb{Z}}(a)), \quad (E^{\mathbb{Z}}\text{-ergodicity})$$

$$\lim_{n \rightarrow +\infty} \frac{1}{n} \sum_{k=0}^{n-1} |f(\alpha^k(a)) - f(E^{\mathbb{Z}}(a))| = 0, \quad (E^{\mathbb{Z}}\text{-weak mixing})$$

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2. Yang-Baxter Deformation

Let \mathcal{H} be a Hilbert space. $T : \mathcal{H} \otimes \mathcal{H} \rightarrow \mathcal{H} \otimes \mathcal{H}$ such that $T = T^*$, $T \geq -I$ and

$$T_1 T_2 T_1 = T_2 T_1 T_2 ,$$

where $T_1 := T \otimes I$ and $T_2 := I \otimes T$ on $\mathcal{H} \otimes \mathcal{H} \otimes \mathcal{H}$, is called a Yang-Baxter operator.

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where $T_1 := T \otimes I$ and $T_2 := I \otimes T$ on $\mathcal{H} \otimes \mathcal{H} \otimes \mathcal{H}$, is called a Yang-Baxter operator. If

$$T_k := \underbrace{I \otimes \cdots \otimes I}_{k-1 \text{ times}} \otimes T \otimes \underbrace{I \otimes \cdots \otimes I}_{n-k-1 \text{ times}} \text{ on } \mathcal{H}^{\otimes n}.$$

then

$$T_i T_j = T_j T_i \quad \text{for } |i - j| \geq 2$$

$$T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1}.$$

2. Yang-Baxter Deformation

For each n , the T -symmetrizer is defined as

$$P_T^{(n)} : \mathcal{H}^{\otimes n} \rightarrow \mathcal{H}^{\otimes n},$$

where $P_T^{(1)} := I$, $P_T^{(2)} := I + T_1$ and, for $n \geq 2$,

$$P_T^{(n+1)} := (I \otimes P_T^{(n)})R^{(n+1)} = (R^{(n+1)})^*(I \otimes P_T^{(n)}),$$

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$R^{(n)} : \mathcal{H}^{\otimes n} \rightarrow \mathcal{H}^{\otimes n}$ is

$$R^{(n)} := I + T_1 + T_1 T_2 + \dots + T_1 T_2 \cdots T_{n-1}.$$

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Bożejko proved that for each n

$$(P_T^{(n)})^2 = \underline{n}! P_T^{(n)} = \underline{n}! (P_T^{(n)})^* \geq 0 ,$$

where $\underline{n} := 1 + q + q^2 + \dots + q^{n-1}$, $\underline{n}! := \underline{1} \cdot \underline{2} \cdot \dots \cdot \underline{n}$

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$$\|P_T^{(n)}\| = \underline{n}!$$

2. Yang-Baxter Deformation

Define a pre-inner product for $\xi \in \mathcal{H}^{\otimes n}$, $\eta \in \mathcal{H}^{\otimes m}$, by

$$\langle \xi, \eta \rangle_T := \delta_{n,m} \langle \xi, P_T^{(n)} \eta \rangle ,$$

By "routine" arguments, the T -deformed Fock space is

$$\mathcal{F}_T(\mathcal{H}) := \bigoplus_{n=0}^{\infty} \mathcal{H}_T^n$$

for $\mathcal{H}_T^0 = \mathbb{C}$ and $\mathcal{H}_T^1 = \mathcal{H}$.

$\Omega := (1, 0, 0, \dots)$ is the vacuum.

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For each $f \in \mathcal{H}$, $n \in \mathbb{N}$, the creation operator is given by

$$a^\dagger(f)\xi := f \otimes \xi, \quad \xi \in \mathcal{H}_T^n.$$

Its conjugate $a(f)$ s.t. $a(f)\Omega = 0$ is called the annihilator.

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Define t by

$$T(e_i \otimes e_j) := \sum_{k,l \in J} t_{ij}^{kl} e_k \otimes e_l,$$

and get the commutation rule for creation and annihilations operators:

$$a_i a_j^\dagger - \sum_{k,l \in J} t_{jl}^{ik} a_k^\dagger a_l = \delta_{ij} I , \quad i, j \in J.$$

2. Yang-Baxter Deformation

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- **Bosons or CCR relations**

Take $\sigma : \mathcal{H} \otimes \mathcal{H} \rightarrow \mathcal{H} \otimes \mathcal{H}$ the flip map
($\sigma(x \otimes y) := y \otimes x$).

The Symmetric Fock spaces (and the CCR algebra) are
obtained with $T_{\text{Bose}} = \sigma$ and

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- **Fermions or CAR relations**

In this case $T_{\text{Fermi}} = -\sigma$, and

$$P_{\text{Fermi}}^{(n)} = \sum_{\pi \in \mathbb{P}_n} \epsilon(\pi) \pi ,$$

where $\epsilon(\pi)$ denotes the sign of the permutation π .

3. Ergodic Properties in Yang-Baxter-Hecke Case

- **Monotone**

Here one has

$$T_m(e_i \otimes e_j) := \begin{cases} 0 & \text{if } i < j, \\ -(e_i \otimes e_j) & \text{if } i \geq j. \end{cases}$$

and $P_m^{(n)}$ is the orthogonal projection of $\mathcal{H}^{\otimes n}$ onto the linear span of $\{e_{i_1} \otimes e_{i_2} \otimes \cdots \otimes e_{i_n} \mid i_1 < i_2 < \cdots < i_n\}$.

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- **Boolean**

Here $T = -I$ and, consequently, $P^{(n)} = 0$ if $n \geq 2$.

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Our aim: finding ergodic properties for (\mathfrak{A}, α) , where α is the shift and \mathfrak{A} is a C^* -algebras generated by creation and annihilation operators on $\mathcal{F}_T(\mathcal{H})$. We have basically 2 obstructions:

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$$\|a_i \upharpoonright_{\mathcal{H}_T^n}\|_T = \|a_i^\dagger \upharpoonright_{\mathcal{H}_T^n}\|_T \leq \|R^{(n+1)}\|^{\frac{1}{2}},$$

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This is the case of Canonical Commutation Relation (CCR) algebra (Bosons).

3. Ergodic Properties in Yang-Baxter-Hecke Case

- In

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we may have infinite sums.

This case appears, e.g., for Boolean and Monotone C^* -algebras...

But we can reach our goal even in this situation.

3. Ergodic Properties in Yang-Baxter-Hecke Case

To pursue the goal, we need to make another condition:

$$M_{\mathcal{T}} := \sup_{n \in \mathbb{N}} \|R^{(n)}\| < \infty.$$

Then one has that, for each $f \in \mathcal{H}$

$$\|a_i\|_{\mathcal{T}} = \|a_i^\dagger\|_{\mathcal{T}} \leq \sqrt{M_{\mathcal{T}}}.$$

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Remark The condition above is only sufficient for the boundedness of the annihilators!

For Fermions creators and annihilators are bounded **but** the operators $R^{(n)}$ are not *uniformly* bounded.

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$$\mathfrak{R}_T := \overline{* - alg\{a_i \mid i \in \mathbb{Z}\}}^{\|\cdot\|}$$



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We suppose the unitary $e_i \mapsto e_{i+1}$, $i \in \mathbb{Z}$ acts as Bogoliubov automorphisms α^n , $n \in \mathbb{Z}$ on \mathfrak{R}_T by

$$\alpha(a_i) := a_{i+1}, i \in \mathbb{Z}$$

Then it acts also on \mathfrak{G}_T by restriction.

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What we already know:

For a Yang-Baxter operator T with $\|T\| < 1$, Dykema-Fidaleo proved that these C^* -algebras are uniquely mixing for the shift with the vacuum expectation as the only invariant state.

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For a Yang-Baxter operator T with $\|T\| < 1$, Dykema-Fidaleo proved that these C^* -algebras are uniquely mixing for the shift with the vacuum expectation as the only invariant state.

But for a Yang-Baxter-Hecke operator: $\|T\| \geq 1$...

3. Ergodic Properties in Yang-Baxter-Hecke Case

Theorem (C. -Fidaleo -Lu)

Let T be a Yang-Baxter-Hecke selfadjoint operator on $\mathcal{H} = \ell^2(\mathbb{Z})$. Suppose that

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- the group \mathbb{Z} acts as a group of automorphisms on \mathfrak{R}_T

Then the dynamical system (\mathfrak{R}_T, α) is uniquely mixing with $\langle \cdot, \Omega, \Omega \rangle$ as the unique invariant state.

3. Ergodic Properties in Yang-Baxter-Hecke Case

Theorem (C. -Fidaleo -Lu)

Let T be a Yang-Baxter-Hecke selfadjoint operator on $\mathcal{H} = \ell^2(\mathbb{Z})$. Suppose that

- the sum below is finite:

$$a_i a_j^\dagger - \sum_{k,l} t_{jl}^{ik} a_k^\dagger a_l = \delta_{ij} I$$

- $M_T < +\infty$.
- the group \mathbb{Z} acts as a group of automorphisms on \mathfrak{R}_T

Then the dynamical system (\mathfrak{R}_T, α) is uniquely mixing with $\langle \cdot, \Omega, \Omega \rangle$ as the unique invariant state.

The result above holds for (\mathfrak{G}_T, α) by restriction.

4. Stationary states on Monotone C^* -algebra

For $k \geq 1$

$$I_k := \{(i_1, i_2, \dots, i_k) \mid i_1 < i_2 < \dots < i_k, i_j \in \mathbb{Z}\},$$

and for $k = 0$, we take $I_0 := \{\emptyset\}$, \emptyset being the empty sequence.

The Hilbert space $\mathcal{H}_k := \ell^2(I_k)$ is the k -particles space.

The 0-particle space is identified with \mathbb{C} .

The monotone Fock space is $\mathcal{F}_m = \bigoplus_{k=0}^{\infty} \mathcal{H}_k$.

4. Stationary states on Monotone C^* -algebra

Given an increasing sequence (i_1, i_2, \dots, i_k) of integers, we take $e_{(i_1, i_2, \dots, i_k)}$ a vector of the canonical basis of $\ell^2(I_k)$.

The monotone creation and annihilation operators are, for any $i \in \mathbb{Z}$,

$$a_i^\dagger(e_{(i_1, i_2, \dots, i_k)}) := \begin{cases} e_{(i, i_1, i_2, \dots, i_k)} & \text{if } i < i_1, \\ 0 & \text{otherwise} \end{cases}$$

$$a_i(e_{(i_1, i_2, \dots, i_k)}) := \begin{cases} e_{(i_2, \dots, i_k)} & \text{if } k \geq 1 \text{ and } i = i_1, \\ 0 & \text{otherwise} \end{cases}$$

Note $\|a_i^\dagger\| = \|a_i\| = 1$.

4. Stationary states on Monotone C^* -algebra

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In addition, the following commutation relation

$$a_i a_i^\dagger = I - \sum_{k \leq i} a_k^\dagger a_k$$

is also satisfied.

4. Stationary states on Monotone C^* -algebra

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Quantization

The C^* -algebra \mathfrak{K}_m and its subalgebra \mathfrak{G}_m acting on \mathcal{F}_m , are the unital C^* -algebras generated by the annihilators $\{a_i \mid i \in \mathbb{Z}\}$, and the selfadjoint part of annihilators $\{s_i \mid i \in \mathbb{Z}\}$ respectively, with $s_i := a_i + a_i^+$.

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Fact The shift α acts on both of them and the sum in "commutation relations" is infinite... In fact

4. Stationary states on Monotone C^* -algebra

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... "problem"

(\mathfrak{K}_m, α) is **not** uniquely ergodic (so not uniquely mixing) w.r.t. the fixed point subalgebra.

4. Stationary states on Monotone C^* -algebra

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Let $i \in \mathbb{Z}$. For $n \rightarrow +\infty$, $\alpha^n(a_i a_i^\dagger) \downarrow P_\Omega$ and so, **strongly**,

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=0}^{n-1} \alpha^k(a_i a_i^\dagger) = P_\Omega$$

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And the convergence is **not** in norm, since

$$1 \geq \left\| \frac{1}{n} \sum_{k=0}^{n-1} \alpha^k(a_i a_i^\dagger) - P_\Omega \right\| \geq \left\| \left(\frac{1}{n} \sum_{k=0}^{n-1} \alpha^k(a_i a_i^\dagger) - P_\Omega \right) e_{(i+n)} \right\| = 1.$$

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Main goal now: classification of shift invariant states. How?

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Proposition

The the fixed-point subalgebra $\mathfrak{K}_m^{\mathbb{Z}}$ w.r.t. the action of the shift α is trivial: $\mathfrak{K}_m^{\mathbb{Z}} = \mathbb{C}I$.

4. Stationary states on Monotone C^* -algebra

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$$\mathfrak{K}_m = \mathfrak{A}_m + \mathbb{C}I.$$

... $\mathcal{S}(\mathfrak{K}_m)$ is the one-point compactification of all the positive functionals on \mathfrak{A}_m with norm less than or equal to 1.

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The *state at infinity*

$$\omega_\infty(X + cI) := c, \quad X \in \mathfrak{A}_m, c \in \mathbb{C},$$

provides such a "point at infinity". It is shift-invariant.

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The monotone vacuum

$$\omega(Y) := \langle Y\Omega, \Omega \rangle, \quad Y \in \mathfrak{K}_m,$$

is shift-invariant

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The structure of such invariant states

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Theorem (C.-Fidaleo-Lu)

The weak $$ -compact set of shift-invariant states on \mathfrak{A}_m is given by*

$$\mathcal{S}_{\mathbb{Z}}(\mathfrak{A}_m) = \{(1 - \gamma)\omega_{\infty} + \gamma\omega \mid \gamma \in [0, 1]\}.$$

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For the subalgebra of selfadjoints:

Theorem (C.-Fidaleo-Lu)

We have $\mathfrak{G}_m = \mathfrak{A}_m$.

5. The case of Boolean C^* -algebra

Let \mathcal{H} be a complex Hilbert space. Recall that the Boolean Fock space over \mathcal{H} is given by $\Gamma(\mathcal{H}) := \mathbb{C} \oplus \mathcal{H}$, where the vacuum vector Ω is $(1, 0)$.

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On $\Gamma(\mathcal{H})$ the creation and annihilation operators, for $f \in \mathcal{H}$ are

$$a^\dagger(f)(\alpha \oplus g) := 0 \oplus \alpha f, \quad a(f)(\alpha \oplus g) := \langle g, f \rangle_{\mathcal{H}} \oplus 0, \quad \alpha \in \mathbb{C}, g \in \mathcal{H}.$$

They are mutually adjoint, and satisfy the following relations

$$a(f)a^\dagger(g) = \langle g, f \rangle P_\Omega = \langle g, f \rangle (I - \sum_{j \in J} a^\dagger(e_j)a(e_j)),$$

$$a(f)a(g) = a^\dagger(f)a^\dagger(g) = 0, \quad f, g \in \mathcal{H}$$

for any orthonormal basis $\{e_j \mid j \in J\}$ of the involved Hilbert space.

5. The case of Boolean C^* -algebra

Put $\mathcal{H} = \ell^2(\mathbb{Z})$, $\mathfrak{K}_{\text{Boole}}$ the C^* -algebra generated by boolean annihilators and $\mathfrak{G}_{\text{Boole}}$ that generated by position operators.

If $\mathcal{K}(\Gamma(\ell^2(\mathbb{Z})))$ denotes the compact linear operators on the Boolean Fock, one has

Proposition (C.-Fidaleo)

$$\mathcal{K}(\Gamma(\ell^2(\mathbb{Z}))) + \mathbb{C}I = \mathfrak{K}_{\text{Boole}} = \mathfrak{G}_{\text{Boole}} = \mathfrak{b}.$$

The shift, as well as the permutations $\mathbb{P}_{\mathbb{Z}}$ naturally act on \mathfrak{b} as Bogoliubov automorphisms.

5. The case of Boolean C^* -algebra

Notice that here too the sum is infinite as soon as $\dim(\mathcal{H}) = \infty$. But, one reaches the goal also in this case. In fact

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Denote $\mathfrak{b}^{\mathbb{P}\mathbb{Z}}$, $\mathfrak{b}^{\mathbb{Z}}$ the fixed-point subalgebras w.r.t. the actions of the permutations and the shift, that is the exchangeable and the invariant C^* -subalgebra, respectively. We get

Proposition (C.-Fidaleo-Lu)

For the fixed-point subalgebras $\mathfrak{b}^{\mathbb{P}\mathbb{Z}}$, $\mathfrak{b}^{\mathbb{Z}}$, one has

$$\mathfrak{b}^{\mathbb{P}\mathbb{Z}} = \mathfrak{b}^{\mathbb{Z}} = \mathbb{C}P_{\Omega} \oplus \mathbb{C}P_{\Omega}^{\perp},$$

where $P_{\Omega} = a_i a_i^{\dagger}$ denotes the orthogonal projection onto the linear span of Ω .

5. The case of Boolean C^* -algebra

Denote E the conditional expectation onto $\mathfrak{b}^{\mathbb{Z}}$ given by

$$E(A + bI) := \langle A\Omega, \Omega \rangle P_{\Omega} + bI, \quad A \in \mathcal{K}(\ell^2(\mathbb{Z})), b \in \mathbb{C}.$$

It is invariant both for the action of the shift and the permutations.

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Proposition (C.-Fidaleo-Lu)

The C^ -dynamical system (\mathfrak{b}, α) is $E^{\mathbb{Z}}$ -mixing with $E = E^{\mathbb{Z}}$ is the unique invariant conditional expectation onto the fixed-point subalgebra.*

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So, even if the fixed-point subalgebra is non trivial the $E^{\mathbb{Z}}$ -mixing property for the shift holds. It is the first case in our setting we find a strong mixing condition with a unique **non trivial** invariant: $E = E^{\mathbb{Z}}$.

5. The case of Boolean C^* -algebra

For the symmetric and stationary states, one has

Proposition (C.-Fidaleo-Lu)

$$\mathcal{S}_{\mathbb{Z}}(\mathbf{b}) = \mathcal{S}_{\mathbb{P}_{\mathbb{Z}}}(\mathbf{b}) = \{\gamma\omega_{\Omega} + (1 - \gamma)\omega_{\infty} \mid \gamma \in [0, 1]\},$$

where

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Hence, as in the monotone case, we have a "segment", although the situation is completely different...

Conclusions

On Ergodic
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For C^* -dynamical systems considered, we found:

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	$\mathfrak{A}^{\mathbb{Z}}$	ergodicity	stat/symm
Fermi	$\mathbb{C}\mathbf{I}$	NO	CCH product states
Monotone	$\mathbb{C}\mathbf{I}$	NO	\simeq segment / "No"
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Other cases already studied not covered in the talk

For CCR (better Weyl algebra) and tensor product: not uniquely mixing. The symmetric are the closed convex hull of product states.

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- ...work in progress...